



FEDERAL DEMONSTRATION PARTNERSHIP
Redefining the Government & University Research Partnership

Finance Audit & Costing Committee

September 21, 2023

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Meeting Agenda

- 1) Updates since May meeting
- 2) Audit group – call for volunteers
- 3) Open discussion – possible demonstrations

FDP FAC Updates

Alan Whatley
Lead Grants Financial Analyst
OPERA FFR-C



National Institutes of Health

Office of Extramural Research

Expired PMS Payment Requests

- PMS does not allow recipients to draw funds on awards 120 days past the performance period end date.
- Therefore, the following guide notice was released on February 23, 2023 in order to provide detailed instruction regarding expired PMS payment requests/late draw requests: [NOT-OD-23-086](#)
- Once the late draw request is submitted to the IC grants management specialist, they will make a determination as to whether or not they will approve the draw.
- If approved, the recipient should wait three business days before submitting the request in PMS.
- When the request is submitted in PMS, the funds will still show as expired, however, the recipient should proceed with the draw request. Their PMS liaison accountant will then reach out to the OPERA FFR Reconciliation and Financial Closeout Support Center (FFR-C) for approval.
- The FFR-C will give approval to PMS based on the communication received from the grants management specialist.
- Once PMS receives approval from the FFR-C, the payment is released to the recipient.



PMS Refunds

- The following guide notice was released on March 10, 2023 in order to provide detailed instruction regarding the repayment of grant funds in PMS: [NOT-OD-23-102: Guidance for the Repayment of Grant Funds \(nih.gov\)](#)
- A chart detailing the method of repayment for various grant scenarios can be found at the following link under Compliance Resources: <https://grants.nih.gov/policy/compliance.htm>



Rejected FFRs

- When FFRs are rejected, we enter in the Remarks section of the FFR exactly why the FFR is being rejected and what is necessary in order for the FFR to be re-submitted successfully.
- When the FFR is rejected, an automated e-mail is sent to the recipient and the body of the e-mail contains the Remarks section of the FFR.
- We have now implemented a deadline for the re-submission of rejected FFRs and this deadline is referenced in the rejection notice.
- Rejected FFRs should be re-submitted within one week after rejection.
- **Final** FFRs that are not re-submitted in a timely manner will be subject to new follow-up procedures performed by the OPERA Closeout Center.
- In October 2023, the Closeout Center will begin to send individual e-mail notices to recipients for each of their rejected **final** FFRs that have not been re-submitted.
- The notices will direct recipients to the Remarks section of the rejected FFR in order to obtain the reason for rejection, make the appropriate corrections, and re-submit the FFR in PMS within one week of the date of the notice.



Thank You for Your Support

- Questions and/or concerns regarding final FFR correction/re-submission will be directed to the OPERA FFR-C at: OPERAFFRInquiries@od.nih.gov or OPERAREJECTEDFFR@od.nih.gov
- Questions and/or concerns **should not** be sent to the OPERA Closeout Center, from whom the notices will be sent.
- We would like to thank all recipients in advance for your support as we begin this new process for rejected final FFRs.





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Audit volunteers:





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Open Discussion