Agenda

- Overview of Management Plan Template Tool
- Updates:
  - Survey on COI practices & processes
  - Consulting addendum
  - Leadership of COI Subcommittee
  - DOE and DOD risk mitigation plans
- Discussion of space use practices with PI-owned spinouts/startups
- AI Discussion
• Led by April Pepperdine at University of Michigan

• Goal:
  • Generate a toolbox of management plan terms for institutions to streamline their COI management plans
  • Help reduce the administrative burden of creating COI management plans from scratch and create a resource for streamlined and consistent language
  • Share useful management plan sections, terms and conditions, and sample disclosures that highlight best practices for member institutions
COI Management Plan Tool

• **Source materials:**
  • 12 FDP member institutions provided their management plan templates, letter/disclosure samples, and other materials related to their COI management process

• **Outcome:**
  • A single resource compendium of management plan section headings, conditions, and example disclosures from the source materials
  • Streamlined and de-identified
  • Separated into categories of interest
COI Management Plan Tool

Uses:

- Institutions can pull relevant pieces of information to develop new COI management plans or refer to institutional best-practices as they revise current language in their plans.
- Valuable for emerging research institutions, primary undergraduate institutions, new COI managers, and international entities that may infrequently generate COI plans to comply with US federal requirements.
Survey on COI Practices/Processes

- Survey is LIVE
  - Please complete by February 28, 2024

- Analysis & report out
  - Raw, de-identified data will be provided to FDP member institutions
  - Final report & presentation to membership
  - Subcommittee will utilize to help plan future activities
Consulting Addendum

• **Phase I (final approval in process):**
  • FAQs/web resource:
    • When to use a consulting addendum
    • Examples of effective addenda and institutional resources
    • Institutional considerations

• **POTENTIAL Phase II:**
  • If the subcommittee decides to proceed with this activity, could generate a sample consulting addenda for institutions to utilize

• **Estimated Completion of Phase I: February 2024**
  • Will send out email when the resource is live
Leadership Update

• Amanda Humphrey stepping down as co-chair effective February 1, 2024

• April Pepperdine from the University of Michigan will be the new co-chair
June 30, 2023, DOD released a new DOD Policy on “Countering Unwanted Foreign Influence in Department-Funded Research at Institutions of Higher Education”

- Guidance to DOD employees on how to assess and monitor risk
- However, their guidance will inevitably impact how we review and guide risk discussions with our faculty and assess disclosures

- COGR has a good summary here
- COI and Research Security Subcommittees looking at creating a template institutions can use for mitigation plans
Space Use Discussion

• Institutional policy on space use?
• Do you allow spinouts to use university space?
  • If so, under what circumstances?
  • If not, how do you support faculty with space/equipment needs?
• How do you document this in the conflict management plan?
• What groups are involved in decision-making around this?
• Related topic areas:
  • Visiting scholars
  • Reporting of gifts and start up funds
Software Background - Dimensions

• Purpose – advance the research ecosystem; partner with the research community and make collaborative and inclusive research possible

• Portfolio – variety of tools and software to support the researcher community

• Dimensions – Linking research data from idea to impact

• What does Dimensions provide? – Research information dataset
AI Discussion – Dimensions

Other Analytics Vendors/Tools: SCOPUS, Academic Analytics, Strider, Visual Science, IP Talons, Elements, etc.
AI Discussion – Digital Science

UC has been using Digital Science and Dimensions since 2020 – to facilitate research collaboration and researcher recognition

Ethical Considerations

• Are your faculty aware of the product and information provided?
• How are you using the product?
  • Compliance?
  • Punitively?
  • Facilitate research?
  • Protect investigators?
• Do you have an SOP that demonstrates consistent and fair practices for product utilization?
• What are the limitations of the product?
Demo – How UC’s COI Team uses Dimensions to inform COI reviews

Carol Ann Taylor, Assistant Director, COI at UC
Key Takeaways

• Subcommittee is mid-stream on 2 projects:
  • fCOI Clearinghouse
  • Survey on COI practices & processes (finalizing)
  • COI Addendum (finalizing)

• The subcommittee is exploring:
  • New project on mitigation plans for federal funding agencies like DOE and DOD
  • Impact and use of AI in the COI space
  • Discussion of mitigation plan documentation around space use, visiting scholars and reporting of gifts and start up funds
• Co-chairs:
  • Amanda Humphrey, Northeastern University
  • Lindsey Spangler, Duke University

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