Welcome!

Today’s session will begin shortly. There will be no audio sound until the session begins.
Zoom Meeting Reminders

- Zoom technical support at 1-888-799-9666, option 2
- Audio can be connected through your computer or through a call-in number
- Submit questions at any time in the chat box at the bottom of your screen
- Webinar recording, slides, and session summaries posted shortly after the event at thefdp.org
Committee Report Outs

FDP Meeting – January 2024
Agenda

- Research Compliance Committee
- CUSP Update
- Subawards
- Conflict of Interest
- Foreign Influence Working Group
- Research Security Subcommittee
- Finance, Auditing, and Costing
- NIH Data Management and Sharing Pilot
- Research Systems & Technology Committee (SciENcv)
- Faculty Administrator Collaboration Team
- Expanded Clearinghouse
- FFATA Survey Team
- Emerging Research Institutions Committee
- Closing Remarks
Research Compliance Committee Update

Melissa Korf - Co Chair of the RCC, Sr. Director, Research Contracts, Data, & Security Harvard Medical School

FDP Meeting – January 2024
### Major Updates since September 2023 Meeting

- Pursuing collaboration with Faculty Administrator Collaboration Team (FACT) on change management strategies to mitigate the burden of new requirements
- Monitoring opportunities related to implementation of RECR requirements
- Considering implications of AI on data sharing and the Data Transfer and Use Agreement templates
- COI session included updates on a newly developed management plan compendium and the COI survey, which is now active
- Great progress made on the Universal Protocol Sample and Compliance Unit Standard Protocol (CUSP) database

### Volunteer opportunities/Emails

- Research Compliance Committee: [ResearchCompliance@thefdp.org](mailto:ResearchCompliance@thefdp.org)
- Animal Subjects Subcommittee: [IACUC_SubC@thefdp.org](mailto:IACUC_SubC@thefdp.org)
- Conflict of Interest Subcommittee: [COI@thefdp.org](mailto:COI@thefdp.org)
- Data Stewardship Subcommittee: [DataStewardship@thefdp.org](mailto:DataStewardship@thefdp.org)
- Human Subjects Subcommittee: [HumanSubjects@thefdp.org](mailto:HumanSubjects@thefdp.org)
- Research Security Subcommittee: [ResearchSecurity@thefdp.org](mailto:ResearchSecurity@thefdp.org)

### Current RCC Volunteer Opportunities:

- Program Manager (1)
- At-Large Committee Member (2)
- Interested? Email [Melissa_Korf@hms.harvard.edu](mailto:Melissa_Korf@hms.harvard.edu) by Friday, February 9, 2024
CUSP Update

Aubrey Schoenleben- Co-chair of the CUSP Team, Co-Director of Office of Animal Welfare, University of Washington

FDP Meeting – January 2024
CUSP Sharing Site: Project Update

Aubrey Schoenleben & Sally Thompson-Iritani, University of Washington
January 24, 2024
CUSP = Compliance Unit Standard Procedure

**Goal:**
Create an online repository where participating institutions can share standard procedures used in animal care protocols.

*A burden reducing initiative of the 21\textsuperscript{st} Century Cures Act*
Benefits

- Reduced administrative burden for researchers, IACUCs and IACUC staff.
- Support development of high-quality animal care protocols.
- Provide consistency within and across institutions.
- Support knowledge sharing both within the animal welfare compliance community, and with the public.
Current Status

- Development was temporarily paused due to funding. We are back up and running again! (thanks, FDP!)

- Returning to a regular development cadence. Two rounds of development and testing completed.

- On track to pilot and open the site to the FDP Community in 2024.

- WG has been focused on community outreach, creation of user guidance, and testing and refining business processes in Freshdesk.
What Can You Do Now To Prepare For CUSP?

1. Get institutional buy in – talk to key stakeholders about how CUSP can benefit your institution.

2. Consider who will serve as your Institutional Representative(s), and how you want to manage access at your institution.

3. Review the Participation Agreement.

Download a copy of the CUSP One Pager
Thank You!

Want to help or participate in the pilot? Email cusp@thefdp.org
Subawards Update

Kevin Ritchie- Co Chair of the Subawards Subcommittee, Associate Director of Awards Management, Harvard Medical School

FDP Meeting – January 2024
Major Updates since September 2023 Meeting

- Standing Subaward Subcommittee (18 people) is up and running! Will meet quarterly.

- NIH NOT-OD-23-182 is in effect.

- Posted drafts of revised/updated templates to FDP website and surveyed membership for feedback. Will be finalized around March 1, 2024.

Volunteer opportunities/Emails

- Looking for (2) new Subcommittee Co-Chairs
  - https://thefdp.org/volunteer/
  - Email subawards@thefdp.org with questions

Major takeaways from the subcommittee’s session this week

- FDP members will have to take action to comply with NIH NOT-OD-23-182 which went into effect on January 1, 2024, by amending their existing foreign subawards under NIH grants and cooperative agreements. We will likely add a reference to this policy to the FDP Foreign Subaward Sample and address in FAQs.

- FDP members will also have to take action to update their records and processes in order to adopt the revised FDP templates in early 2024.
Conflict of Interest Update

Amanda Humphrey- Co chair of the COI Subcommittee, Chief Research Operations Officer, Northeastern University

Lindsey Spangler - Co chair of the COI Subcommittee, Associate Dean, Research Integrity, Duke University

FDP Meeting – January 2024
Conflicts of Interest

Major Updates since September 2023 Meeting

• April Pepperdine to step in as co-chair as Amanda Humphrey steps down Feb. 1, 2024
• Survey on COI practices is now live through Feb 28, 2024
• Pending final FDP approval, we will soon have:
  • Template Management Plan Tool
  • Consulting Addendum Resources

Volunteer opportunities/Emails

coi@thefdp.org

Subcommittee members are always welcome!

Major takeaways from the committee’s session this week

Subcommittee is mid-stream on 3 projects:
• Management Plan Tool (finalizing)
• Survey on COI practices & processes
• COI Addendum (finalizing)

The subcommittee is exploring:
• New project on mitigation plans for federal funding agencies like DOE and DOD
• Impact and use of AI in the COI space
• Discussion of mitigation plan documentation around space use, visiting scholars and reporting of gifts and start up funds
Foreign Influence Working Group Update

Jim Luther- Co chair of FIWG, FDP Special Advisor on Policy and Strategic Initiatives, FDP

Pamela Webb- Co chair of FIWG, Associate Vice President for Research, University of Minnesota

FDP Meeting – January 2024
Major Updates since September 2023 Meeting

- Release of the Common Forms
- NIH new Foreign Sub Data Access Requirement
- FIWG worked with RSS and FDP Leadership to prioritize three potential areas for demonstration (MART Disclosure Tool, Risk Mitigation Tools, Federal Research Security Definitions Glossary)
- MART product demonstrated to FBI with positive feedback

Volunteer opportunities/Emails

It is likely that FIWG will be standing up multiple workgroups to address the demonstrations listed above – stay tuned for “Volunteers Wanted” emails!

Major takeaways from the committee’s session this week

- NSF PAPPG 24-1 with new Common Forms and Malign Foreign Talent Recruitment Program certification plans released
- All four NSF Research Security Training Modules released in on-line and downloadable formats
- NIH and DOD working on finalizing arrangements for deployment of Common forms with little/no change
- DOE also in mid-review of the Common forms
- SECURE Center scheduled to be awarded in 8/24
- NSF Foreign Gift/Contract over $50K reporting tool to be released in demo mode on 5/20/24 and in production 7/1/24 with first reports for year ending 6/30/24 due on 7-31-24.
Research Security Subcommittee

Lisa Nichols - Co chair of RSS, Sr Director Pre-Award, University of Michigan
Doug Backman- Co chair of RSS, Director, Office of Compliance, University of Central Florida
Mark Sweet- Co chair of RSS, Director, Grants & Contracts, University of Wisconsin, Madison

FDP Meeting – January 2024
Major Updates since September 2023 Meeting
❖ International Agreement Risk Assessment Matrix
❖ Collaboration with the Central Midwest Research Security Forum to discuss research security program standards

Volunteer opportunities/Emails
Participating in a demonstration of an international agreement risk assessment matrix

Two committees (FIWG and RSS) have proposed demonstrations, or phased approaches to implementing research security standards

Contact: Doug Backman at: dbackman@ucf.edu

Major takeaways from the committee’s session this week

NSF Research Security Modules
NSF Purpose: Build awareness; protect US researchers time, effort & IP; strengthen national security; meet Federal requirements

Module 1 – What is Research Security
Module 2 – Disclosure
Module 3 – Manage & Mitigate Risk
Module 4 – International Collaboration

- Available in LMS/SCROM format
- Designed to be integrated into canon or Sponsored Program Offices
- Add local content
- 30-60 Minutes per module
- Features: engaging storylines, interactive elements, learning checks, case studies, examples, risk scenarios, games, hard questions

https://new.nsf.gov/research-security/training
Faculty Group Update

Michele Masucci, Co Chair of the Faculty Group & FDP, Vice Chancellor for Research and Economic Development, University of Maryland System

Robert Nobles - Co Chair of the Faculty Group, Vice President For Research Administration, Emory University

FDP Meeting – January 2024
Finance, Auditing, and Costing

Christi Keene- Co Chair of FAC, Senior Director, Research Finance, University of Chicago

Michelle Bulls- Co Chair of FAC, Director, Office of Policy for Extramural Research Administration, NIH

FDP Meeting – January 2024
Major takeaways from the FAC session this week

- NASA released additional information around FCTR’s and FFR’s
- NIH providing additional email for closeout reminders at 90 days. Email notifications are sent to the AORs.
- Members of Audit committee have started meeting and will start by identifying 2 federal agencies that have a finding(s) in common and examine the potential impact to institutions and how FDP may be helpful
- PMS access issues due to additional security and limitations. Seek guidance from your PMS Liaison [https://pms.psc.gov/find-pms-liaison-accountant.html](https://pms.psc.gov/find-pms-liaison-accountant.html)
- If recipients require a FFR prioritized for review, please reach out to OPERAFFRInquiries@od.nih.gov

Resources

- NSF Grants Conference in May: [https://nsfpolicyoutreach.com/](https://nsfpolicyoutreach.com/)

How to get involved/emails

- Sign up for the FAC listserv: [https://thefdp.org/default/mailing-lists/](https://thefdp.org/default/mailing-lists/)
- Want to get involved: ckeene@uchicago.edu
NIH Data Management and Sharing Pilot

Christi Keene- Co Chair of DMSP, Senior Director, Research Finance, University of Chicago

Michelle Bulls- Co Chair of the DMSP, Director, Office of Policy for Extramural Research Administration, NIH

Melissa Korf -Co Chair of the DMSP, Sr. Director, Research Contracts, Data, & Security Harvard Medical School

Jim Luther- Co chair of the DMSP, FDP Special Advisor on Policy and Strategic Initiatives, FDP
Major Updates since September 2023 Meeting

• Reviewed initial NIH IC observations and researcher survey responses to date
• Recordings of Town Halls, including the December 2023 session, available via https://sharing.nih.gov/about/learning and the FDP NIH DMS Pilot webpage

Volunteer opportunities/Emails

• Interested in participating in Phase 1?
• Interested in volunteering for the first Phase 2 working group (personnel effort calculator)?
• Have sample guidance on budgeting for personnel effort related to DMS activities?
• Other suggestions?

Reach out to: NIHDMSPilot@thefdp.org

Major takeaways from the committee’s session this week

• For more information on the pilot see: https://thefdp.org/demonstrations-resources/nih-data-management-sharing-pilot/
• Phase 1: NIH DMS Plan Template Pilot
  • Researcher feedback welcome: https://nas.qualtrics.com/jfe/form/SV_b8lylBfDWNiINcrA
  • Support Provider feedback welcome: https://nas.qualtrics.com/jfe/form/SV_8CgFumlT0aWqnM
• Institutions acknowledge responsibility for oversight of compliance but must rely on PI to be responsible for programmatic oversight
• Phase 2: Cost Policies
  • Initial Project: Personnel Effort Calculator
  • Feedback on additional projects welcome!
Research Systems & Technology Committee (SciENcv)

Lori Schultz- Co Chair of the RSTC, Assistant Vice President, Research Intelligence, University of Arizona

David Saunders- Co Chair of the RSTC, IT program manager, NSF

FDP Meeting – January 2024
RSTC – SciENcv

Major Updates since September 2023 Meeting

- NSF requirement for use of SciENcv October 2023

Volunteer opportunities/Emails

- Contact RSTC with SciENcv feedback, specific issues
- We’ll contact FDP membership when there are opportunities to test (admins, faculty, tech reps)

Major takeaways from the committee’s session this week & conversation with FACT

- Many of you want an API from your institutional eRA system
- For ORCID member institutions, follow up with your options to write to ORCID records
- SciENcv investigating communication method for new features, outages, etc
- Remains clear that SciENcv use for non-NIH faculty is challenging
- More help documentation focused on user paths
Faculty Administrator Collaboration Team

Suzanne Alstadt- Co Chair of FACT, Senior Director, Research and Sponsored Programs and Research Information Systems, University of Arkansas for Medical Sciences

Steven Post- Co Chair of FACT, Professor and Vice Chair of Pathology, University of Arkansas for Medical Sciences

Mark P Haselkorn (Mark)- Member of FACT, Professor of Human Centered Design & Engineering, University of Washington
**Meeting Summary**

Discussed theory to practice on transitioning to new process/tools and that burden must be borne by someone (often falls to Faculty)

Presented past findings on work to identify sources and reduce burden by FACT, OG-RAD, and RSTC

Presented a case study on transition to SciENcv for NSF as an example of a much bigger issues

Discussed how to improve the transition to new processes/tools going forward.

- **Human centered design**: use methods should be implemented when designing and fielding new processes
- **Collaboration across committees**: RSTC, FACT, OG-RAD, etc. get these groups on the front side of policy making

**Major takeaways**

**Approach matters**: impact on Faculty burden depends on whether the response emphasizes support services or audit of compliance

- Compliance will overrule service = increase/perceived increase in burden

**University budgets are stressed**, reducing administrative support to faculty

- Central is being required to take on more in terms of compliance and training without additional support
- Shifting burden to someone who is not naturally invested in completing the task is a problem

**Post implementation Assessment** is key to improving how the next change rolls out

**Need a strategy for achieving integrated design, development, deployment and assessment of processes for government funded research**
• Introduced an initial Theory and Model of Research Burden
• Presented research on research burden that expanded the model
• Reviewed a case: The October NSF rollout of the sciENcv requirement
• Explored enhancements to the sciENcv rollout that reduced burden
• Proposed general strategies for reducing burden from any changes to research requirements
• Extended the Theory and Model of Research Burden to incorporate what we had learned during the session
• Had an extended, vigorous discussion of how we want to move forward to achieve integrated, community-centered design, development, deployment, and ongoing improvement of changes in research processes
Expanded Clearinghouse

Robert Prentis- Co Chair of the Expanded Clearinghouse, Sr Financial Analyst, Yale University

Jennifer Rodis- Co Chair of the Expanded Clearinghouse, Policy Analyst for Research and Sponsored Programs, University of Wisconsin, Madison

Amanda Hamaker- Co Chair of the Expanded Clearinghouse, Director, Pre-Award and Sponsored Program Services, Purdue University
FDP Expanded Clearinghouse

Major Updates since September 2023 Meeting

- Added 5 new participating organizations
- Continued work on the Non-Single Audit Profile (NSAP) and Pilot Preparation
- Uploaded updated Profile Participation Agreement in the FDP Expanded Clearinghouse
- Added two new volunteers to Subcommittee

Volunteer opportunities/Emails

For general questions: ExpClearinghouse@thefdp.org

For assistance with the FDP Expanded Clearinghouse system: echelp@thefdp.org

*Include “NSAP” in Subject line if message is related to NSAP Pilot

Major takeaways from the committee’s session this week

- Next invitations for non-FDP participants will be issued in March 2024
  - FDP EC waitlist
- Requirement to respond to COI questions will go live 6/1/2024
- FDP Expanded Clearinghouse system updates planned for mid-2024 (not Jan. 2024)
- Subrecipient Monitoring Tools Working Group:
  - NSAP Pilot Preparation updates
  - Risk Assessment Questionnaire (RAQ) updates
FFATA Survey

Stephanie Scott- FFATA Lead, Director of Policy & Research Development, Columbia University

Amanda Humphrey- FFATA Lead, Chief Research Operations Officer, Northeastern University

FDP Meeting – January 2024
Major Updates since September 2023 Meeting

N/A we did not have any activities since the meeting.

Volunteer opportunities/Emails

Stephanie Scott: sfs2110@cumc.Columbia.edu
Amanda Humphrey
a.Humphrey@northeastern.edu

Not sure where this is going yet, but your interest is welcome!

Major takeaways from the committee’s session this week

Opportunities exist for FDP to support GSA and the federal government in streamlining and improving data quality in the FSRS system.
Emerging Research Institution Committee

FDP Meeting – January 2024
Emerging Research Institutions (ERIs)

Major Updates since the September 2023 Meeting

- New ERI Committee Federal Co-Chair, Lisa Scott-Morring (National Science Foundation)
- Outreach to HBCUs for potential collaborations
- Coordination with FDP Research Compliance Committee: focus on RECR in PUIs

Major takeaways from the committee’s session this week:

- Collaboration with other FDP activities is beneficial
- The May in-person FDP meeting will be an important opportunity for community building
- RECR training is a developing area and we will need to figure out ways of achieving the goals in ways that our institutions can handle

How to Get Involved

- thefdp.org  Get Involved  Join Listservs  FDP Emerging Research Institution List: FDP-ERI-L

Susan Anderson, Co-Chair: AndersonS@cofc.edu
Thank you for joining us and have a great rest of your day!