

National Science Foundation



W H E R E D I S C O V E R I E S B E G I N

National Science Foundation Update

FDP Meeting
September 7, 2017



SPEAKER

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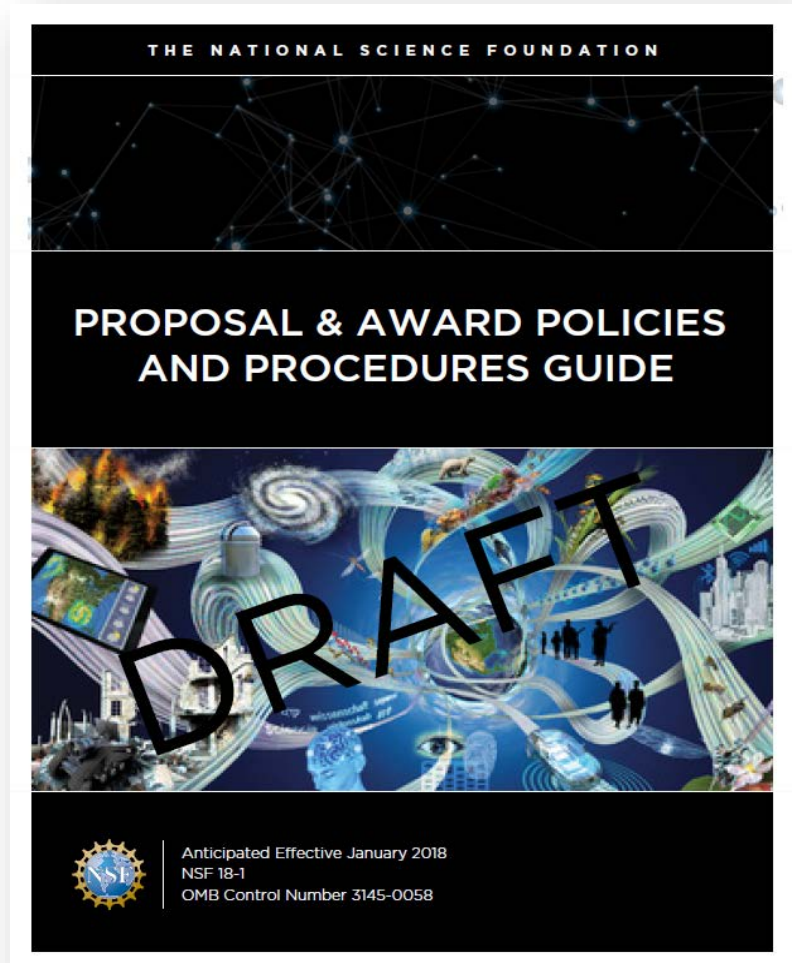
TOPICS

- Proposed PAPPG Significant Changes
- Hurricane Harvey – NSF Response
- Modernizing Account Management
- ERA Forum Activities
- NSF is Moving
- Outreach Opportunities



UPCOMING PAPPG IMPLEMENTATION SCHEDULE

- Spring 2017 – Federal Register for Public Comment
- Comments Received by July 24, 2017
- Fall 2017 – Release to the community
- Winter 2018 – PAPPG becomes Effective



PAPPG PROPOSED SIGNIFICANT CHANGES

- Revises eligibility standards to:
 - Add a new subcategory for Institutions of Higher Education (IHE)
 - Revises the eligibility of foreign organizations
- Implements currently on-going pilot of Collaborators and Other Affiliations (COA) template.
 - COA template will now mirror content of PAPPG
 - New footnotes have been added to address frequently asked questions



Pursuant to PAPPG Chapter II.C.1.e., each PI, co-PI, and other senior project personnel identified on a proposal must provide collaborator and other affiliations information to help NSF identify appropriate reviewers.(v.4/21/2017)

Please complete this template (e.g., Excel, Google Sheets, LibreOffice), save as .xlsx or .xls, and upload directly as a Fastlane Collaborators and Other Affiliations single copy doc.

Do not upload .pdf.

There are five tables:

A: Your Name & Affiliation(s);

B: PhD Advisors/Advisees (all);

C: Collaborators;

D: Co-Editors;

E: Relationships

List names as Last Name, First Name, Middle Initial. Additionally, provide email, organization, and department (optional) to disambiguate common names.

Fixed column widths keep this sheet one page wide; if you cut and paste text, set font size at 10pt or smaller, and abbreviate, where necessary, to make the data fit.

To insert *n* blank rows, select *n* row numbers to move down, right click, and choose Insert from the menu.

You may fill-down (ctrl-D) to mark a sequence of collaborators, or copy affiliations. Excel has arrows that enable sorting.

"Last active" dates are optional, but will help NSF staff easily determine which information remains relevant for reviewer selection.

Table A: List your Last Name, First Name, Middle Initial, and organizational affiliation (including considered affiliation) in the last 12 months.

A	Your Name:	Your Organizational Affiliation(s), last 12 months	Last Active Date
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PAPPG PROPOSED SIGNIFICANT CHANGES (CONT'D)

- Clarifies that the five year period of support in Results from Prior NSF Support means “an award with an END date in the past five years or any current funding including no cost extensions.”
- Reminds organizations that it is their responsibility to define and consistently apply the term “year” in the Senior Personnel Salaries and Wages Policy section



PAPPG PROPOSED SIGNIFICANT CHANGES (CONT'D)

- Updated vertebrate animals coverage:
 - PAPPG includes new language that reflects the new award-specific condition on organizational responsibilities for the life of the grant.
 - Guidance further states that “additional IACUC approval must be obtained if the protocols for the care and use of vertebrate animals have changed substantively from those originally proposed and approved.”
 - Supplements do not require a separate IACUC approval letter unless the scope of the project has substantively changed, in which case a new signed IACUC approval letter is required.



PAPPG PROPOSED SIGNIFICANT CHANGES (CONT'D)

- Updated human subjects coverage:
 - PAPPG states that the Common Rule “is not intended to supersede any additional protections that may be afforded to human subjects under foreign laws or regulations.” In addition, the draft PAPPG now references the eight categories of research that qualify for exemption, rather than the current six categories.
 - Adds language to reflect the organizational responsibilities regarding the use of human subjects for the life of the grant.
 - Adds language on post-award responsibilities stating that “IRB approval must be obtained if the protocols for the use of human subjects have been changed substantively from those original proposed and approved.”
 - Adds language regarding supplemental funding. Such requests do not require a separate IRB approval letter. However, if the scope of the project has been substantively changed, a new signed IRB letter is required.



PAPPG PROPOSED SIGNIFICANT CHANGES (CONT'D)

- Re-locates Requests for Prior Approval coverage to the Research Terms and Conditions, Appendix A.
- Streamlines Allowability of Costs Chapter to remove sections that simply restate the Uniform Guidance.



HURRICANE HARVEY – NSF RESPONSE

NSF Hurricane Harvey Information

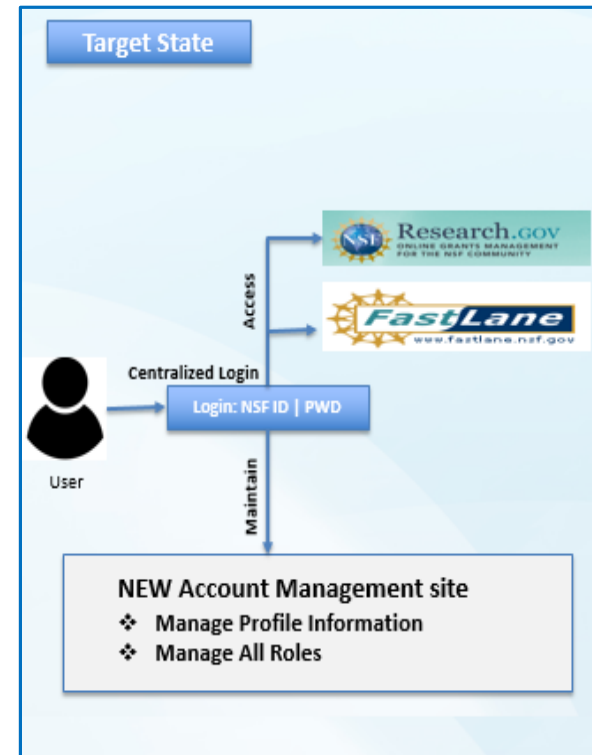


- Ensure flexibility to proposers and awardees
 - Will work with you to extend proposal and administrative deadlines – must contact NSF program officer.
 - For affected organizations, extending end dates of existing awards scheduled to expire before October 1, 2017.
 - Communicating with affected institutions and awardees with updates
- Dear Colleague Letter (DCL) issued identifying RAPID, EAGER and supplemental funding opportunities to conduct new research related to Hurricane Harvey
- Email address for inquiries: harvey@nsf.gov, and updated information on NSF.gov



MODERNIZING ACCOUNT MANAGEMENT

- NSF is consolidating its account registration.
- Currently, users can have a variety of logins to access different services.
- In the future, the NSF ID login will be used for all services.
- Per NSF policy, users should not have more than one NSF ID.



ELECTRONIC RESEARCH ADMINISTRATION (ERA) FORUM

Initiative to gather opinions, perspectives, and feedback around NSF ERA activities.

- Next Forum Webinar: September 19, 2017 at 1:00pm Eastern
 - NSF's initiative to streamline and modernize registration functionality, including new role request features and dashboards for managing accounts, as well as how existing accounts will be migrated to the new system.
 - Updates on Proposal Submission Modernization (PSM) implementation
- How to Engage with the Forum:
 - Send questions and suggestions to nsferaforum@nsf.gov
 - Subscribe to the Listserv by sending an email to nsf-era-forum-subscribe-request@listserv.nsf.gov
 - Visit the ERA Forum website at https://www.nsf.gov/bfa/dias/policy/era_forum.jsp



NSF IS MOVING – WHAT THIS MEANS FOR YOU

- NSF is moving from Arlington to Alexandria.
- Phased move from late August to early October



National Science Foundation
2415 Eisenhower Avenue
Alexandria, VA 22314

*Beginning October 2, 2017



PROPOSAL & AWARD POLICY NEWSLETTER

- Quarterly newsletter
- Stay abreast of policy and procedural developments
- Latest version is the August/September issue.

ISSUE III ■ AUG/SEP ■ 2017 ■ NATIONAL SCIENCE FOUNDATION

Proposal & Award Policy Newsletter

What's Inside

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PAPPG – Proposed Significant Changes

The Proposal & Award Policies & Procedures Guide (PAPPG) provides guidance on NSF policies and procedures for proposals to, and awards from NSF. The annual revision and implementation of the PAPPG is currently underway. NSF published a draft “for comment” version in the Federal Register this past spring and comments from the community were accepted until July 24th. The Policy Office in the Division of Institution and Award Support (DIAS) is currently reviewing and responding to all comments, and expects to issue a final version of the guide this fall. Per NSF standard practice, the community receives a full 90 days to review the significant changes prior to the document taking effect. The current draft PAPPG is available on the NSF website and a summary of the significant changes and clarifications to this version is provided below.

Proposed Revision of Eligibility Standards

NSF has revised eligibility standards by adding the new subcategory Institutions of Higher Education (IHE). Special instructions have been added for international branch campuses of IHEs. Specifically, if a proposal includes funding to be provided to an international branch campus of a U.S. institution (including through use of subawards and consultant arrangements), the proposer must explain the benefit(s) of performing (part or all) of the project at the international campus. Further, the proposer must justify why the project activities cannot be performed at the U.S. campus.

Foreign organization eligibility will be revised so that the proposer must demonstrate that one or more of the following criteria will be met:

- The foreign organization contributes a unique organization, facilities, geographic location and/or access to unique data resources not generally available to U.S. investigators (or which would require significant effort or time to duplicate), or other resources that are essential to the success of the proposed project
- The organization to be supported offers significant scientific and engineering education, training or research opportunities to the U.S.

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Contact

Contact the Division of Institution and Award Support Contacts via e-mail at policy@nsf.gov or by phone at 703.252.8243

Links

- Proposal & Award Policies & Procedures Guide (PAPPG)
- FAQs on Proposal Preparation & Award Administration
- NSF Grants Conference
- Presentations from Recent Events

This issue showcases images from CISE – one of the many research and education areas funded by NSF. SOURCE: <https://www.nsf.gov/news/mms/>

NSF GRANTS CONFERENCE

- November 13-14 in Phoenix, AZ
 - Proposal Preparation
 - Merit Review Process
 - Award Management
 - Proposal & Award Policy Update
 - NSF CAREER Program Overview
 - Breakout sessions by NSF Directorate
- Registration opens today at Noon!



To learn more visit <https://nsfgrantsconferences.com/>