

FACULTY WORKLOAD SURVEY III (FWS3) UPDATE

Sandra Schneider, Principal Investigator,
Faculty Workload Survey 2 and 3

Thursday, Sep 7, 2017
Meeting Announcements



FDP
Federal
Demonstration
Partnership

FWS3 Goals and Objectives

Collection of survey data from Faculty PIs on federally-funded grants.

- **Goals:**

- **To increase the likelihood of efficient and effective demonstration projects**
- **To provide information to FDP, federal agencies, universities and other FDP members to facilitate targeted, evidence-based reduction of administrative burden**

- **Objectives:**

- **Assess changes in experienced burdens since FWS2**
- **Obtain detailed information about specific burdens (e.g., Proposal Preparation, Project Finances, IRB, IACUC)**
- **Focus on perceived high priorities for change**
- **Obtain data for evaluation by institution and/or agency**

Impact of findings from FWS2

Results cited in

- 2014 National Science Board Report: *Reducing Investigators' Administrative Workload For Federally Funded Research*
- H.R. 5056 - Research and Development Efficiency Act introduced July 2014 introduced by Rep. Larry Bucshon (R-IN)
- H.R. 6 - 21st Century Cures Act introduced May 2015 by Rep. Fred Upton (R-MI); includes SEC. 1023. Reducing Administrative Burdens of Researchers → **Signed into law in December, 2016.**
- 2015 FASEB Report: *Sustaining Discovery in Biological and Medical Sciences: A Framework for Discussion*
- 2016 National Academies Report: *Optimizing the Nation's Investment in Academic Research: A New Regulatory Framework for the 21st Century*
- 2016 GAO Report: *Federal Research Grants - Opportunities Remain for Agencies to Streamline Administrative Requirements*



FWS3 Research Team

- **Principal Investigator: Sandra Schneider, USF, FWS3 Working Group Lead**
- **Project Manager: David Wright, FDP, Executive Director**
- **Data Collection support from SoundRocket, LLC**
 - **Scott Crawford, Executive Director**
 - **Julie Smith, Research Consultant**
- **FWS Working Group Regular Contributors**
 - **Richard Beaulaurier, Neil Charness, Richard Costanzo, Jonathan Kaye, Cheryl Kitt, Melissa Korf, Jane McCutcheon, Robert Nobles, Mary Ann Ottinger, Alistair Saunders, Kelly Shaver, Bettie Steinberg, Larry Sutter, Alice Young**

Current Timeline

- **Questionnaire Development Key Dates**
 - Mid-September → Questionnaire Finalized
 - End of September → Web-based survey programming
 - Throughout October → Task Force Pilot Testing/Review
 - Mid-November → Web-based survey finalized
- **School Commitments with PI List Submitted**
 - End of September → Institution guide to be distributed
 - End of October → Priority deadline for commitment
 - Mid-November → Final deadline for commitment
 - Early January → Request for admin reps to send email to institution's potential participants in support of survey

Data Collection Timeline

- **Late January, 2018** **Email Invitations To Be Sent**
- **1-2 weeks later PRN** **Email Reminder #1**
- **1 week later PRN** **Email Reminder #2**
- **1 week later PRN** **Final Email Reminder**

Data Collection will close approx. March 1, 2018.

FWS3 Institution Participation Guide

- **A guide will be distributed by the end of September to FDP Administrative Representatives to provide a clear set of instructions on how an FDP member institution participates, including:**
 - **Rationale for and benefits of participation**
 - **Internal requirements (IRB or other approvals)**
 - **Guidance on securing faculty PI list**
 - **Instructions on how to submit list to SoundRocket**
 - **Information on communications to potential participants**

Faculty List Acquisition

- **Providing your faculty contact list will secure your institution's participation**
- **Encouraging faculty participation through internal communications will help ensure a healthy response rate.**
- **Who should be in the list?**
 - **All University Faculty Personnel serving as Principal Investigators (PIs) on Federally-Funded Research Projects (Grants and Contracts)**
 - **Active (including no cost extensions) grants and contracts during 2016-2017 Academic Year (12 mo.)**
 - **No pass-through awards to state or other entities**

Faculty List Acquisition

- **Required Items (Necessary to administer the study)**
 - **PI First and Last Name**
 - **Email**
- **Highly Recommended Items (For improved analysis and to assist in evaluating nonresponse bias)**
 - **Rank / Title**
 - **Department / Program**
 - **Gender / Ethnicity**
- **Optional Items**
 - **Misc. other items to enhance contact information**

FWS3 IRB Process

- **The IRB at the University of South Florida will review and be responsible for approving the study protocol**
- **The USF IRB does not require any involvement from any other IRB**
 - **Most schools won't need local IRB given their limited involvement in the study**
 - **Schools that choose to complete IRB review will be provided with supportive documents**
 - **FDP Admin reps need to be ready to serve as primary liaison to obtain university permission to participate**
 - **FDP Faculty reps need to be ready to help if called upon to assist in obtaining university permission**