



FDP Research Administration Committee

Co-chairs: Wade Wargo, Office of Naval Research
Lynette Arias, University of Washington

Subcommittees/Working Groups/Initiatives – a few updates:

Open Gov:Res Admin Data: Richard Fenger, U Washington

Expanded Clearinghouse: Courtney Swaney, UT Austin

Proposal Initiative: Amanda Hamaker, Purdue Univ

Lori Schultz, University of Arizona

FDP Meeting – September 2020



Today's Topics

- Welcome new FDP members!!
- Research Admin Committee
 - Brief overview of committee scope and activities
- Current Activities – some updates on two areas
 - Open Government: Research Administration Data
 - Expanded Clearinghouse
- Ideas currently percolating in a “new” area:
 - Proposal Initiatives
 - Open discussion to explore proposal related admin burden reducing idea – business process and requirements





Research Admin Committee

Identify opportunities to make the administrative requirements imposed by federal sponsors simpler and less costly without compromising accountability.

In the following areas:

- Contracting
- Proposal
- Award
- Subaward; and
- processes and general research administration areas not targeted for coverage by other programmatic committees.



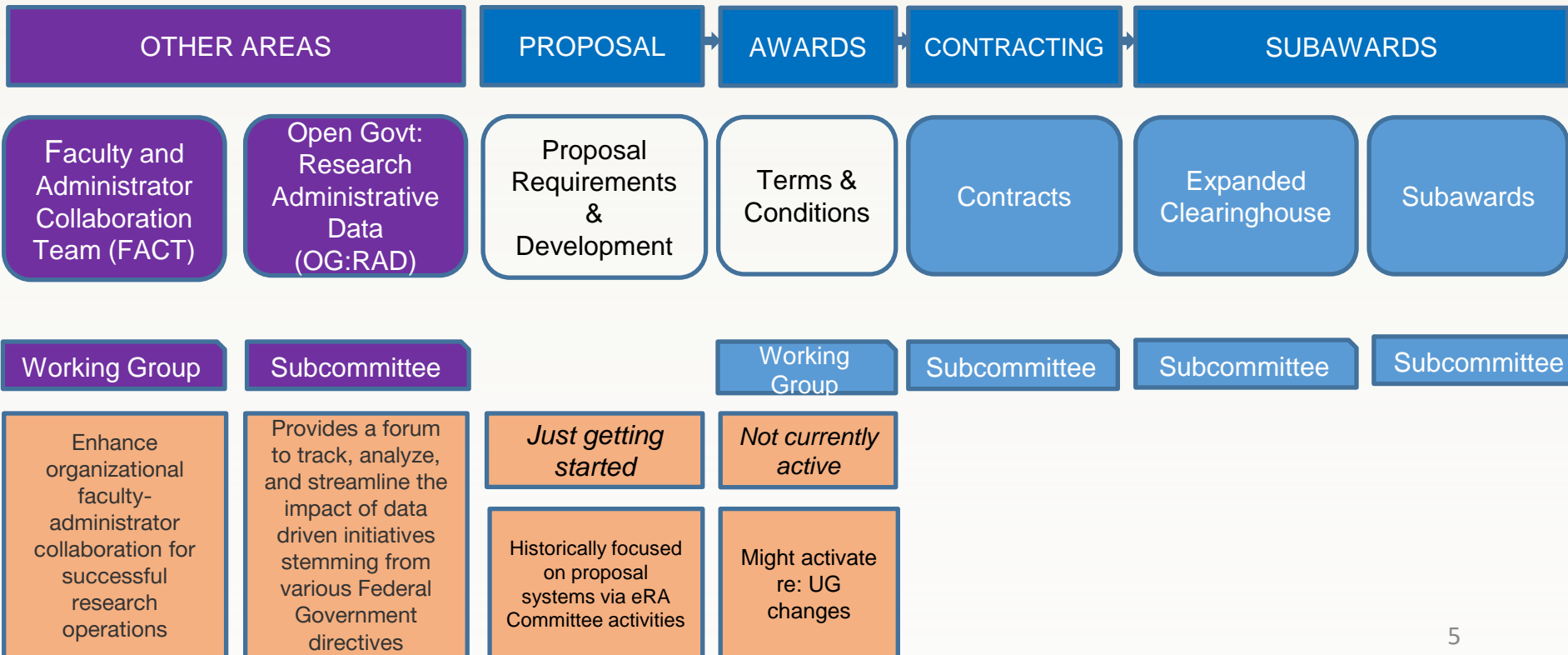
Research Admin Committee

Committee/Subcommittee/Working Group	Co-Chairs
Overall Direction & Oversight	Lynette Arias, University of Washington Wade Wargo, Office of Naval Research
Contracts Subcommittee	Alex Albinak, Johns Hopkins University David Mayo, Caltech Melissa Korf, Harvard
Subawards Subcommittee	Amanda Humphrey, Northeastern University Amanda Hamaker, Purdue University Stephanie Scott, Columbia University Kevin Ritchie, Harvard University
Expanded Clearinghouse Subcommittee	Lynette Arias, University of Washington Pamela Webb, University of Minnesota
Open Government: Research Administration Data	Richard Fenger, University of Washington Avinash Tembulkar, NSF Stephanie Endy, Brown University
Proposal Initiatives	Amanda Hamaker, Purdue University Lori Schultz, University of Arizona
Faculty Administrator Collaboration Team (FACT)	Suzanne Alstadt, UAMS [admin co-chair] Steven Post, UAMS [faculty co-chair]
At-large members	Courtney Swaney, University of Texas Austin Denise Moody, Boston VA Research Institute



RA Subcommittees, Working Groups & Initiatives

Identify opportunities to make the administrative requirements imposed by federal sponsors simpler and less costly without compromising accountability. This includes contracting, proposal, award and subaward requirements and processes and general research administration areas not targeted for coverage by other standing committees.





FEDERAL DEMONSTRATION PARTNERSHIP
Redefining the Government & University Research Partnership

Expanded Clearinghouse

Courtney Swaney, University of Texas Austin
Project Manager & Help Desk Lead

FDP Meeting – September 2020



Expanded Clearinghouse Steering Committee

Area/Role/Functions	Lead Partners
Overall Direction & Oversight	Lynette Arias/Pamela Webb (co-chairs)
Project Management	Courtney Swaney/Denise Moody
Help Desk	Courtney Swaney
Web Based System	Chris Renner/TBD
Education, Outreach & Evaluation	Julie Thatcher/Neal Hunt
Profile Review & Approval (new)	Lynette Arias/Pamela Webb
Profile Review & Approval (updates) & Data Analysis	Robert Prentiss/Webb Brightwell
Long-Range Planning	Jennifer Rodis/Amanda Hamaker
At-Large Members	Laura Register/Carrie MacCue

New Clearinghouse Email Addresses:

Systems Help: EChelp@thefdp.org

General Questions: ExpClearinghouse@thefdp.org



Exp Clearinghouse Profiles

- Current profiles
 - Phase VI
 - Members (212)
 - Non-Members (25)
 - Profiles to continue to Phase VII (200)
 - Profiles to be invited to continue in Clearinghouse only (36)
- New profiles to be added as part of Phase VII (37)



Exp Clearinghouse Cohorts

Cohort	Implementation Date	# of Profiles	
1	March 2016	56	Pilot participants – wave 1
2	Aug 2016	73	Pilot participants – wave 2
3	July 2017	38	Pilot participants – wave 3
4	Aug 2018	45	Remaining Phase VII members
5	Sept 2019	25	Non-FDP member participants
6	Skipping	0	Skipping “6” in order to align “7” with Phase VII
7	Fall 2020	37	Phase VII new member profiles
8	Early 2021	??	Possible additional group of non-FDP member institutions



Exp Clearinghouse Est Phase VII Timeline – Cohort 7

- **[October]** Introduction/training for new Phase VII Clearinghouse institutions
- **[October]** New Phase VII Clearinghouse institutions (“Cohort 7”) begin entering profiles into Clearinghouse
- **[by January 2021]** Publish new Clearinghouse profiles upon execution of Phase VII MoU



Exp Clearinghouse Est Phase VII Timeline – Cohort 8

- Dependent on Cohort 7 completion
- [Jan 2021] Invite waiting list of Cohort 8 institutions
 - Consistent inquiries from interested parties
 - 46 estimated number of invitations, currently
- [Jan 2021] Retire existing profiles not continuing
- [Jan 2021] Initiate Cohort 8 Profile Participation Agreement for Expanded Clearinghouse
- [Feb 2021] Introduction/training for Cohort 8
- [Feb 2021] Cohort 8 begins entering profiles
- [April 2021] Cohort 8 profiles published

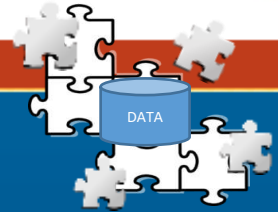


Exp Clearinghouse - Next

- Membership database /Clearinghouse collaboration
- Financial questionnaire for non-Single-Audit institutions
- Continued system enhancements
- Continue engaging with European colleagues in developing Due Diligence/University of Kent
- Uniform Guidance “Safe Harbor”



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Redefining the Government & University Research Partnership



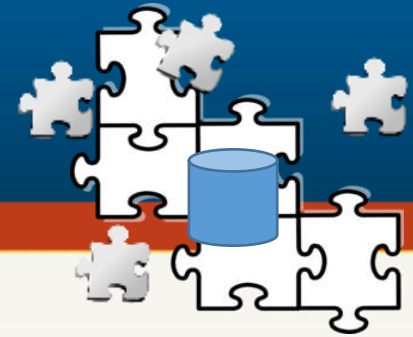
Open Government: Research Administration Data (OG:RAD)

FDP Meeting – Sept 2020



OG:RAD - Who?

-Membership and Workgroups



Subcommittee

Members	FDP Member Organization
Stephanie Endy	Brown University
Avinash Tembulkar	National Science Foundation
Richard Fenger	University of Washington
Post Award Management - Draw-down Workgroup (LoC)	
Nate Martinez-Wayman	Duke University
Christopher Berner	National Science Foundation
Analysis Workgroups	Phase1 – Survey Creation <i>Phase2 – Feedback Analysis</i>

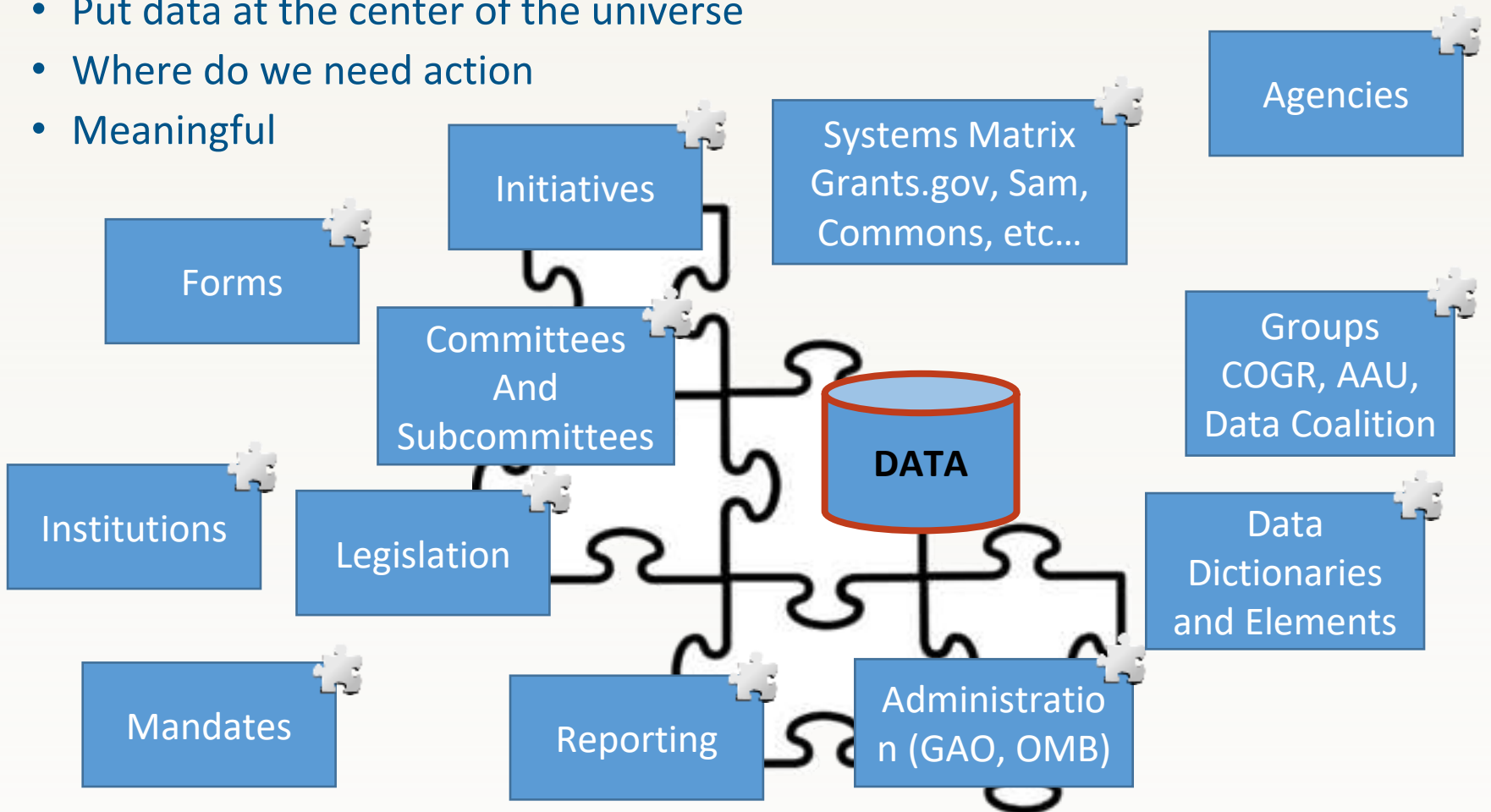


OG:RAD – Why?

-The Puzzle



- Demonstrate all the known pieces
- Put data at the center of the universe
- Where do we need action
- Meaningful





OG:RAD - Legislation



- [DATA Act](#) – Pilot resulted in report to congress spawning **PMA and CAP Goals**
- [OPEN Act](#) - On January 14, 2019, the **Open, Public, Electronic, and Necessary** (OPEN) Government Data Act was **signed into law**. The OPEN Government Data Act was included in the Foundations for Evidence-Based Policymaking Act (H.R.4174) as Title II. The open data proposal will **require federal agencies to publish their information online, using machine-readable data formats.**
- [GONE Act](#) - **Grants Oversight and New Efficiency Act** – Close-out awards 180 days
- [GREAT Act](#) - The **Grant Reporting Efficiency and Agreements Transparency** (GREAT) Act of 2019 (H.R. 150) - Open Data to Modernize Federal Grant Reporting. **Signed in to law, Jan 2020.**

(i.e., data standards, transparency and access)



OG:RAD - performance.gov



-President's Management Agenda and Cross-Agency Priorities (PMA and CAP)

performance.gov

Key Drivers of Transformation

IT Modernization
(CAP Goal 1)

Data, Accountability,
and Transparency
(CAP Goal 2)

People - Workforce of
the Future
(CAP Goal 3)

Cross-Cutting Priority Areas for Transformation

Improving Customer
Experience
(CAP Goal 4)

Sharing Quality Services
(CAP Goal 5)

Shifting From Low-Value
to High-Value Work
(CAP Goal 6)

Functional Priority Areas for Transformation

Category
Management
(CAP Goal 7)

Results-Oriented
Accountability for
Grants
(CAP Goal 8)

Getting Payments
Right
(CAP Goal 9)

Federal IT Spending
Transparency
(CAP Goal 10)

Improve
Management of
Major Acquisitions
(CAP Goal 11)

Managing Across Silos



OG:RAD - Radar



Original Projects/Targets:

- Post Award Management - Draw-downs (LoC)
- Post Award reporting (SA#)
- Data Dictionaries - CDER, USASpending (SA#)
- Forms (SA#)
- FFATA (FSRS, SEFA, Post Data Act)
- SAM.gov - UEI
- System Matrix Analysis
- Data Standards (FIBF)

Current work:

- **Burden Measures & Reduction (Draw-downs/LoC)**
- **Various modernization efforts (Blockchain, DLT)**
- **Cap Goal 8 - Quality Service Management Organizations ([QSMO](#))**
- **CARES Act - Monitoring for reporting/data requirements**



OG:RAD - Currently



OG:RAD	Highlights	Action
Drawdown Survey Workgroup	LOC Development, Participation, and Analysis	See next slide
Data Standards	Federal Integrated Business Framework (FIBF) integrated into Uniform Guidance. <ul style="list-style-type: none">• Definitions and standard terms incorporated• Agencies now asked to consider performance measurement based on goals and objectives of the federal programs	Continue to monitor and understand implementation in various areas
SNoA	NIH Published for 10/1 release. Pleasant surprise, web services! <ul style="list-style-type: none">• Discretionary (for now)• 1st page (for now)• FIBF incorporated	Test and discover standards and related data via WSs



OGRAD: LoC Survey



Post Award Management Draw-downs (LoC): Quantifying workload associated with post award management, specifically grant drawdowns. In this project grant recipients will quantify the specific workload by FTE of preparing for drawdowns, drawing funds, and reconciling the funds from the existing institution accounting systems with the Federal Government drawdown systems. Attention will also be given to the number of different drawdown systems used by the FDP members.

OG:RAD	Highlights
Feedback received	62 responses; representative of all FDP members
Prelim findings	Draw process takes between 4.5-48 hours for draw preparation, draw, and reconciliation. Most institutions draw funds 12-52 times per year in at least 3 systems.
Points of interest to explore	How workload correlates to institutional volume How workload correlates to number of systems used How workload correlates to developed institutional tools
Recruit Analysis workgroup	Interested people welcome to join!



OG:RAD - Housekeeping

- Next Stage

- Web Update in progress - *wasn't ready for primetime as of meeting*
- Highlight data centric ideals
- Refreshed drivers
- Refreshed targets
- New format and work group support framework
- Goal: Less doctor office feel and more encouraging involvement

- [OG:RAD Webpage](#)

- Listserv: `fdp-open-gvmnt-l@lsw.nas.edu`



Proposal Initiative

Amanda Hamaker, Purdue University
Lori Schultz, University of Arizona
Co-leads of this exploratory initiative

FDP Meeting – September 2020



Proposal Initiatives

Purpose: To engage FDP in proposal related admin burden reduction initiatives focused on business processes and requirements

- Co-leads: Amanda Hamaker, Purdue University
Lori Schultz, University of Arizona (eRA)
- Collaboration with eRA Committee (system focused)
- Potential to collaborate with many other FDP committees, working groups, and federal partners

Current Status:

- Just getting started
- Plan to work on further developing thoughts around how this group will work and the specific topics that could be addressed over the next few months



Proposal Initiatives

Current Initiatives:

- SciENCv – Tuesday’s FDP session with NSF
- Research.gov – Engaging in discussions with NSF regarding the transition to Research.gov.
 - Earlier in September, gathered a small group on short notice representing 12 FDP member institutions to provide feedback via a Zoom call to NSF about the current use of the Fastlane demo site and the future possible use of a Research.gov demo site.
 - Possible future FDP/NSF discussions related to Research.gov
 - Early dialogue surrounding a possible demonstration project for the transition from Fastlane to Research.gov



Proposal Initiatives

Possible Future Initiatives:

- Continue to collaborate with the eRA Committee on other proposal related projects – focused on business process
- Engage with federal agencies on the possible use of more pre-proposals to limit the burden associated with full submissions
- Encourage use of “Just-In-Time” more broadly to reduce burden when only ~1/3 of proposals are awarded (reps and certs, C&P, complex budget forms, etc.)
- Understand the impact of foreign influence driven requirements on proposals
- Provide feedback to JCORE/CARR on proposal related admin burden reduction topics – stay synced up



Proposal Initiatives Discussion

- With respect to the proposal stage of the grants lifecycle, what areas could FDP focus on related to reducing admin burden?
 - Business process and requirements focused



Proposal Initiatives – Next Steps

- Collecting input, ideas and feedback
- Utilizing information in Fac Workload Survey
- Assessing feasibility and interest
- Connecting with JCORE/CARR – Lisa Nichols
 - At least to be cognizant of their projects and priorities
 - Is there opportunity for partnership?
- Hold some additional zoom discussion sessions this Fall & at January FDP virtual meeting as well
- Thoughtexchange to collect more ideas and thoughts on this topic



Session Wrap up / Next steps

- As we transition into Phase VII – some refreshing!
 - Updating co-chairs, as needed
 - Openings for additional members in Committee, subcommittees and working groups
 - Enhancing our engagement with faculty
 - Engaging additional federal agency partners
 - Web pages and resources updates
- And some more planning for what our priorities should be for Phase VII!
- Utilizing results and recommendations from 2018 Faculty Workload Survey
- All welcome to be involved! FDP is a team sport!



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Co-Chair Contact Info

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Research Administration Committee Webpage

- <http://thefdp.org/default/committees/research-administration/FDP> Clearinghouse web-based system