



NIH Update – FDP

NIH OFFICE OF POLICY FOR EXTRAMURAL RESEARCH ADMINISTRATION

SEPTEMBER 2021

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Budget News & Fiscal Policy Updates

- On December 3, 2021, President Biden signed the Further Extending Government Funding Act ([Public Law 117-70](#)).
- NIH remains funded under a Continuing Resolution (CR) through February 18th, 2022 and NIH continues operations at the FY 2021 enacted level.
- NIH maintains several important fiscal policy Guide Notices:
 - [NOT-OD-21-057](#), FY 2021 Salary Cap
 - Effective Jan 3, 2021: \$199,300
 - [NOT-OD-21-056](#), FY 2021 Legislative Mandates
 - [NOT-OD-21-049](#), FY 2021 NRSA Stipend Levels
 - Effective for all FY21 NRSA awards
 - [NOT-OD-21-058](#), Notice of Fiscal Policies in Effect for FY 2021



POLICY UPDATES

Publication of the Revised NIH GPS for Fiscal Year 2022

- Update is applicable to all NIH grants and cooperative agreements with budget periods beginning **on or after October 1, 2021**.
 - Previous versions of the NIH GPS remain applicable as standard terms and conditions of award for all NIH grants and cooperative agreements with budget periods that began prior to October 1, 2021.
- NIH will continue to publish interim grants policy changes and/or clarifications through the issuance of NIH Guide Notices, available [here](#).

See details: [NOT-OD-22-050](#)

Publication of the Revised NIH GPS for Fiscal Year 2022 – Significant Changes Table

- As is standard, [a significant changes table](#) was published alongside the GPS update.
 - The full table is linked, with a few highlighted changes below:
 - Language related to updated Other Support and Biosketch requirements and links to instructions and resources were added to Sections 2.3.7.12: Biographical Sketches, 2.5.1: Just-in-Time, and 4.1.10: FCOI.
 - Language about the NRSA Childcare Cost initiative was added to Chapter 11: Ruth L. Kirschstein National Research Service Awards.
 - Clarifying language related to sIRB was added to Section 4.1.15.10: NIH Policy on the Use of Single Institutional Review Board.
 - Language was added related to application requirements, enhancing diversity, review, and reporting requirements for Conference Grants in Chapter 14.

See details: [NOT-OD-22-050](#)
and [significant changes table](#)

NRSA Childcare Costs: F's and T's

- NIH began providing childcare support to recipients of NRSA fellowships on April 8, 2021.
 - Applies to full-time NIH-NRSA-supported fellowship positions.
 - Each fellow is eligible to receive \$2,500 per budget period
 - For households where both parents are NRSA fellows, each parent is eligible to receive \$2,500.
- NIH also began providing childcare support to recipients of NRSA training grants awarded (continuation, new, or renewal) in FY 2022.
 - For eligible Training Grants, childcare costs will be provided, unless otherwise specified on the notice of award, based on the number of trainee slots awarded.
 - **No additional action is needed on the part of the applicant at the time of application to request these funds.**

See details and application instructions for F's: [NOT-OD-21-074](#)
See details for T's: [NOT-OD-21-177](#)

Implementation of Changes to the Biographical Sketch and Other Support Format Page

- NIH expects applicants and recipients to use the updated Biosketch and Other Support format for applications, Just-in-Time (JIT) Reports, and Research Performance Progress Reports (RPPRs)
- NIH will require the use of the updated format pages on and after **January 25, 2022**
 - Electronic signatures and supporting documentation will be required beginning **January 25, 2022**
 - Failure to follow the appropriate formats on or after January 25, 2022, may cause NIH to withdraw applications from or delay consideration of funding.
- Applicants and recipients remain responsible for disclosing all research endeavors regardless of the version of the forms used



SYSTEMS PROCESS UPDATES

Reminder: FORMS-G Grant Application Forms & Instructions Must be Used for Due Dates On or After January 25, 2022

- Applicants **must** use FORMS-G application packages for due dates on or after January 25, 2022 and **must** use FORMS-F application packages for due dates on or before January 24, 2022.
 - (see [NOT-OD-21-169](#) for details).
- FORMS-G Grant Application Instructions are now posted on the [How to Apply - Application Guide](#) page.
 - All [significant changes to form instructions are published](#).
- FORMS-G application packages have been posted to all active Funding Opportunity Announcements (FOAs).
- NOTE: Application due dates remain unchanged.

See details: [NOT-OD-22-018](#)

Update – Implementation of Requirement to Submit SF-425/FFR via Payment Management System

- Recipients have reported a significant increase in errors in submitting reports via a single-entry point since January 1.
- The system does not allow recipients to submit reports that do not reconcile expenditure versus quarterly cash transaction data.
- Given the significant impact, agencies will provide leniency, as appropriate, where these challenges prevent recipients from submitting timely FFRs.
 - Notify the Grants Management Official of any delays and retain all documentation related to this flexibility in the event of an audit finding.
- Beginning in March 2021, on behalf of NIH, PMS began converting all NIH Final FFRs to Interim Annual FFRs in order to relax the validations on a weekly basis.
- Recipients should indicate in the Remarks section that this is a Final FFR. The FFRs will be reviewed by NIH OFM staff as Final FFRs.

Update – Process for Submission of FFRs for Closed PMS Subaccounts

- Recipients are unable to submit FFRs on closed PMS subaccounts (e.g. “C” status).
- When a recipient identifies a need to revise an FFR for a closed PMS subaccount, the recipient should contact the NIH Office of Financial Management (OFM). Recipients should email the OFM staff member assigned to their account. These assignments may be found at <https://ofm.od.nih.gov/Pages/Grants-FFRS0.aspx>.
 - In that email, the recipient should provide the grant number, PMS document number, details on the FFR revisions needed, and a request to re-open the subaccount.
- Upon receipt, OFM will review the information, and submit a transaction in the NIH financial system to re-open the subaccount. OFM will notify the recipient when the action is complete, and the recipient must then submit the revised FFR in PMS for NIH review and approval.
 - Any questions or issues should be sent to the OFM-GAB Branch Chief – Don Geiger don.geiger@NIH.gov.

Learn more: [NOT-OD-21-060](#), [NOT-OD-21-128](#), [NOT-OD-21-138](#)

Mandatory Submission of SF-425/FFR via Payment Management System- Points of Contact

- Direct all systems policy inquiries to:
 - NIH Office of Policy for Extramural Research Administration (OPERA)
Systems Policy Branch
Email: OPERAsystemspolicy@nih.gov
- Direct all eRA systems-related inquiries to:
 - eRA Service Desk
Submit a web ticket: <https://grants.nih.gov/support/index.html>
Toll-free: 1-866-504-9552
Phone: 301-402-7469
 - The FFR due date and the data on Lines 1 thru 9 are populated by NIH eRA. If any of this data on the FFR is incorrect, please contact the eRA Service Desk directly.
- Direct all PMS systems-related inquiries to:
 - ONE-DHHS Help Desk
Self-Help Web Portal: <http://www.psc.gov/one-dhhs>
Email: PMSsupport@psc.hhs.gov
Toll-free: 1-877-614-5533
 - For all inquiries related to Line item 10a Cash Receipts, 10b Cash Disbursements and line item 10d Total Federal Funds Authorized, please contact PMS Helpdesk at 1.877.614.5533 or you can submit a ticket to PMS using the self-service web portal. Please ensure that you provide the Payee Account Number (PAN), PMS Document Number and Sub-account number and provide the details of the issue.
- PMS Training opportunities will be posted at: <https://pms.psc.gov/training/gr-ffr-training.html>

Learn more: [NOT-OD-20-127](#), [NOT-OD-21-046](#), [NOT-OD-21-060](#),
[NOT-OD-21-128](#), [NOT-OD-21-138](#)

Expanding Requirement for eRA Commons IDs to All Senior/Key Personnel

- An eRA Commons ID must be entered in the “Credential, e.g. agency login” field for all Senior/Key Personnel listed on the R&R Senior/Key Person Profile (Expanded) Form
- Senior/Key Personnel (NIH defined in [NIH GPS 1.2](#))
 - The PD/PI and other individuals who contribute to the scientific development or execution of a project in a substantive, measurable way, whether or not they receive salaries or compensation under the grant.
- Applicants will encounter an eRA system validation if the “Credential, e.g. agency login” field is blank or does not contain a valid eRA Commons ID. Currently, this is a warning.

See details: [NOT-OD-21-109](#)

Update- Adjusted Timeline for Requiring Two-Factor Authentication to Access eRA Modules Using Login.gov or InCommon Federated Accounts

- As of Sept. 15, 2021, eRA users are now required to transition to the use of the two-factor authentication service provider Login.gov.
- Timeline:
 - All PIs and key personnel associated with an application or Research Performance Progress Report (RPPR) are required to transition to the use of two-factor authentication **45 days** after the submission of their competing grant application (Type 1 or 2) or their RPPR.
 - This approach currently is only applicable to all [scientific account](#) holders but excludes [administrative accounts](#) until early-to-mid 2022. (See also [eRA Commons User Roles](#))
- See step-by-step instructions, FAQs, video tutorials and more related to Login.gov at the [Access eRA Modules via Login.gov](#) webpage.
- For further information about using an InCommon Federated account see the [Access eRA Modules Via an InCommon Federated Account](#) webpage.



HHS AND FED- WIDE SYSTEM UPDATES



National Institutes of Health
Office of Extramural Research

Upcoming Change in Federal-wide Unique Entity Identifier Requirements

- In March 2019, the General Services Administration (GSA) announced that the U.S. Government is moving to a new government-owned Unique Entity Identifier (UEI) which will replace the Dun and Bradstreet (D&B) Data Universal Numbering System (DUNS) number in all systems.
- By April 2022, the federal government will stop using DUNS to uniquely identify entities registered in SAM.
- Entities currently registered in SAM will automatically be issued a UEI.
- Note: Entities registering prior to April 2022 must still obtain a DUNS prior to SAM registration.

UEI Implementation

- Mid-FY 2021- All entities registered in SAM will automatically be issued a UEI.
 - Note: DUNS still required for new registrants prior to April 2022.
- Beginning October 2021-
 - Entities registered in eRA Commons will begin to see UEI populated in Institutional Profile File (IPF).
 - NIH recipients' UEI will be populated on Page One of the Notice of Award
 - NIH recipients' UEI will be transmitted in award data reported to HHS TAGGS and USASpending.gov.
- For applications due on or after January 25, 2022, applicants must have a UEI at the time of application submission. Application forms and packages required for application submission will be updated to reflect UEI instead of DUNS (FORMS-G).

Questions?

Division of Grants Policy:

- E-Mail: GrantsPolicy@mail.nih.gov

Division of Grants Compliance & Oversight:

- E-Mail: GrantsCompliance@mail.nih.gov

Systems Policy Branch

- E-Mail: OPERAsystemspolicy@mail.nih.gov

Division of Extramural Inventions and Technology Resources:

- E-Mail: Inventions@nih.gov