



# FDP Research Administration Committee

**Co-chairs/Liaisons:**

Lynette Arias, University of Washington  
Alice Young, Texas Tech University, Faculty Liaison  
TBD, Federal Co-chair

**Contracts Subcommittee:  
Co-chairs**

Elizabeth Peloso, University of Pennsylvania  
Janette Hannam Hayes, Emory University  
Katherine (Katie) Cook, Michigan State University

**Expanded Clearinghouse:  
Co-chairs**

Denise Moody, Boston VA Research Institute  
Robert Prentiss, Yale University  
Amanda Hamaker, Purdue University



# Brief poll question:

What role do you represent in your participation at FDP?

- 1) Faculty or researcher
- 2) Administration
- 3) Both Faculty/Research and Administrator
- 4) Technical
- 5) Federal agency representative
- 6) Other



# Today's Topics



## Research Admin Committee

Brief overview of committee scope,  
leadership and current activities

Open “suggestion box” throughout  
today’s session – ThoughtExchange



## Spotlighting two of our Subcommittees

Contracts Subcommittee  
Expanded Clearinghouse  
Subcommittee

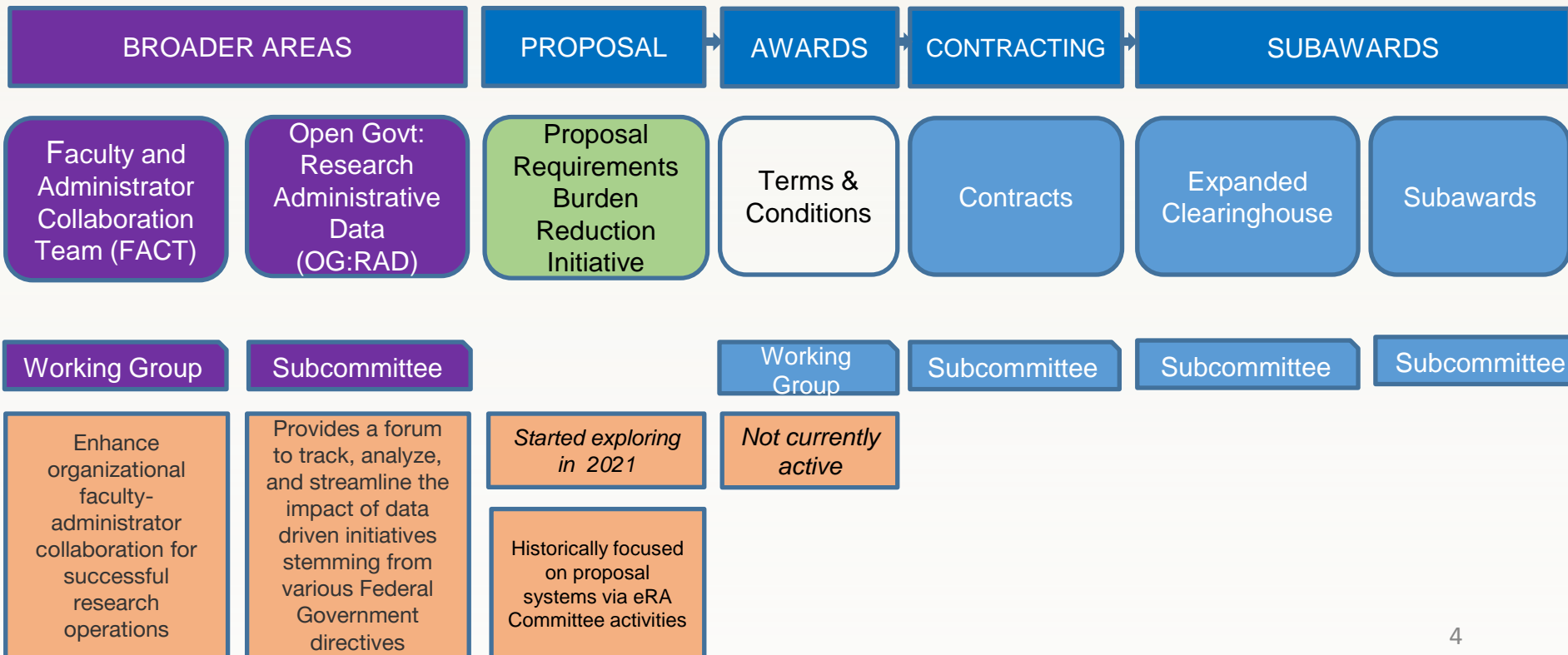


## Questions & brief review of ThoughtExchange



# RA Subcommittees, Working Groups & Initiatives

Identify opportunities to make the administrative requirements imposed by federal sponsors simpler and less costly without compromising accountability. This includes contracting, proposal, award and subaward requirements and processes and general research administration areas not targeted for coverage by other standing committees.





# Research Admin Committee

Committee/Subcommittee/Working Group	Co-Chairs/Liaisons
Research Admin Committee Coordination/Facilitation	Lynette Arias, University of Washington TBD, Federal Co-chair <b>Alice Young, Texas Tech Univ, Faculty Liaison</b>
Contracts Subcommittee	Elisabeth Peloso, University of Pennsylvania Katie Cook, Michigan State University Janette Hannam Hayes, Emory University
Subawards Subcommittee	Amanda Humphrey, Northeastern University Kari Tetrault, Institute for Systems Biology Kevin Ritchie, Harvard University
Expanded Clearinghouse Subcommittee	Robert Prentiss, Yale University Amanda Hamaker, Purdue University Denise Moody, Boston VA Research Institute
Open Government: Research Administration Data	Richard Fenger, University of Washington Avinash Tembulkar, NSF Stephanie Endy, Brown University
Proposal Initiatives	Amanda Hamaker, Purdue University Stephanie Gray, University of Florida Lisa Mosley, Yale University Lori Schultz, University of Arizona
Faculty Administrator Collaboration Team (FACT)	Suzanne Alstadt, UAMS [admin co-chair] Steven Post, UAMS [faculty co-chair]
At-large members	Stephanie Scott, Columbia University Pamela Webb, University of Minnesota



# Integrating Faculty collaboration

Alice Young, Ph.D.

Associate Vice President for Research,  
Responsible Research

Office of Research & Innovation

Texas Tech University

Faculty liaison for the Research  
Administration Committee for 3 years!





# What is RA Committee up to?

## Sessions this week for:

- Subawards Subcommittee
- Faculty Administrator Collaboration Team (FACT)

## You will hear from the following in this session:

- Contracts Subcommittee
- Expanded Clearinghouse Subcommittee

## Brief updates on others now:

- Open Government: Research Admin Data (OG:RAD)
- Proposal Initiative



# Current activities

## Open Government: Research Admin Data (OG:RAD)

- OG:RAD has completed the Letter of Credit (LOC) survey report and supported the Federal Funding Accountability and Transparency Act (FFATA) survey.
- Once the LOC survey report is published, we'll be reaching out to volunteers to help assist with choosing and implementing future data-related projects.

## Proposal Initiative

- Information gathering in 2021
- Engaged faculty members to partner on this initiative
- Beginning to approach our FDP federal agency members to schedule listening sessions to share ideas
- Maintaining awareness of federal agency priorities at same time!





# We want to hear your thoughts!

- While you all are enthusiastically listening and interacting with today's session....
- We welcome you to think about this question:

Are there specific ideas that could reduce burden in the pre award stage of the grants and contracts lifecycle, that the Research Administration Committee is not currently working on and should consider?



# How to get to the Exchange?

<https://tejoin.com/scroll/390372519>

Click on the link and you will be walked through how the exchange works

We will put link in chat so you can use anytime you have a thought during this session

We will keep this exchange open after the session and send a follow-up email after the meeting

We plan to always maintain an open exchange on the RA Committee Webpage





**FEDERAL DEMONSTRATION PARTNERSHIP**  
Redefining the Government & University Research Partnership

# Contracts Subcommittee

Contracts Subcommittee:  
Co-chairs

Elizabeth (Missy) Peloso, Univ of Penn  
Janette Hannam Hayes, Emory Univ  
Katherine (Katie) Cook, MSU

FDP Meeting – January 2022



# Committee Membership

- FDP Contract Subcommittee Chairs:
  - Missy Peloso (U Penn), and Melissa Korf (Harvard)
  - The committee sadly says farewell to Co-Chair *Melissa Korf*.
- Welcome two new Co-chairs:
  - Katie Cook, Director (MSU)
  - Janette Hannam Hayes, Director, Contracts (Emory University)
- Committee excited at the 11 continuing committee members and working group volunteers.
- Co-chairs and committee volunteers will focus on Troublesome Clauses Database 2.0 and a list of other initiatives during FY22.

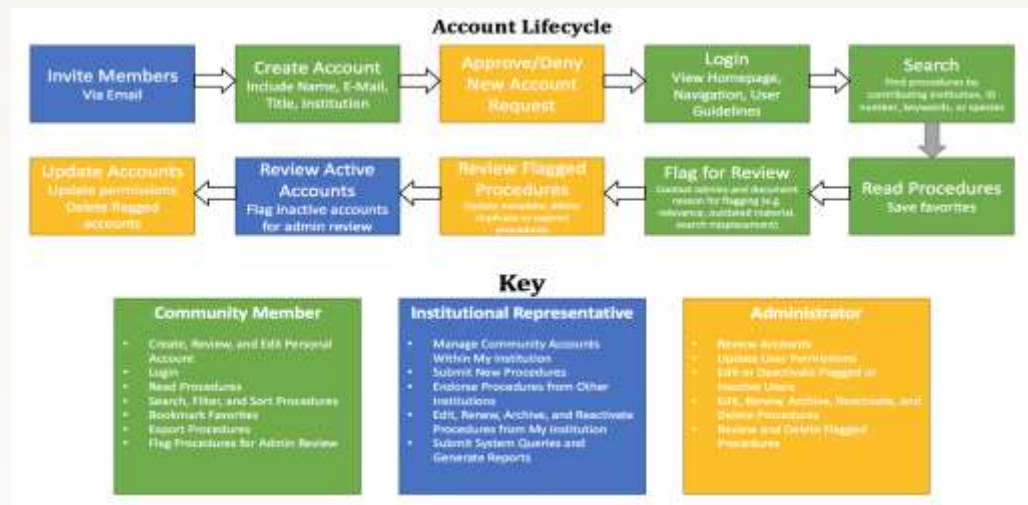


# Troublesome Clauses 2.0

**Purpose:** Replace existing database with searchable dynamic database that readily adapts to emerging issues

Work to Date:

- Use cases (non-members and members)
- Basic workflow and data collection (will include data definitions within system)
  - Funding Agency
  - Instrument Type
  - Specific Clause
  - Area of Concern
  - Reason of Concern
  - Negotiation Strategy
  - Outcome
  - Time for Entire Process



Next Steps: Work with developer and spec reporting requirements



# Other Initiatives

- New Federal Acquisition Regulations (FAR) Guidance Document
- Continue to work on “Other Transaction Authority” (OTA) initiatives
- Model language for fundamental research justifications/requests
- Department of Defense, Cybersecurity Maturity Model Certification (CMMC)- monitoring language, level negotiations
- Federal Lab language review and negotiation techniques
- Other ideas?



# Zoom Poll

Please indicate which initiative you would recommend Contracts Subcommittee work on next:

- Model language for fundamental research justifications/requests
- CMMC- language review, how to negotiate CMMC levels
- Federal Lab language review and negotiation techniques



# Contact Info

- Elizabeth (Missy) Peloso
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- Janette Hannam Hayes
  - Emory University
  - [Jhannam@emory.edu](mailto:Jhannam@emory.edu)
- Katherine (Katie) Cook
  - Michigan State University
  - [farrkat1@msu.edu](mailto:farrkat1@msu.edu)





**FEDERAL DEMONSTRATION PARTNERSHIP**  
Redefining the Government & University Research Partnership

# Expanded Clearinghouse

Denise Moody, Boston VA Research Institute  
Robert Prentiss, Yale University

Subcommittee Co-Chairs

FDP Meeting – January 2022



# Expanded Clearinghouse Subcommittee

## Co-Chairs

- Amanda Hamaker, Purdue University
- Denise Moody, Boston VA Research Institute
- Robert Prentiss, Yale University

## Members

- Lynette Arias, University of Washington, Senior Advisor, Emeritus Co-Chair
- Webb Brightwell, Harvard University
- Neal Hunt, Vanderbilt University
- Carrie MacCue - The Research Foundation for the State University of New York
- Chris Renner, Vanderbilt University Medical Center
- Jennifer Rodis, University of Wisconsin, Madison
- Julie Thatcher, Institute for Systems Biology
- Pamela Webb, University of Minnesota, Senior Advisor, Emeritus Co-Chair

**Systems Help: [EChelp@thefdp.org](mailto:EChelp@thefdp.org)**  
**General Questions: [ExpClearinghouse@thefdp.org](mailto:ExpClearinghouse@thefdp.org)**



# Exp Clearinghouse Updates

- Co-Chair transition (January 1, 2022)
  - Thank you to Courtney Swaney, now an FDP “Friend”
  - Welcome to Robert Prentiss
- Non-FDP Clearinghouse participants (90+)
  - Ongoing Quarterly invitations based on interest since early 2021 (“Wait List Survey”)
- Systems enhancements
  - Implemented Unique Entity Identifier (UEI)
  - Removed Primary Auditee DUNS (when entity’s Single Audit is part of a large comprehensive audit). DUNS=data universal numbering system thru Dun & Bradstreet



# Exp Clearinghouse Non-FDP Cohorts

Implementation Date	# of Profiles	
March 2016	56	Pilot participants – wave 1
Aug 2016	73	Pilot participants – wave 2
July 2017	38	Pilot participants – wave 3
Aug 2018	45	Remaining Phase VII members
Sept 2019	25	Non-FDP member participants – 1 <sup>st</sup> cohort
Fall 2020 –Jan 2021	37	Phase VII new member profiles
July 1, 2021	23	Non-FDP member participants – 2 <sup>nd</sup> cohort
Oct 1, 2021	14	Non-FDP member participants – 3 <sup>rd</sup> cohort
Jan 1, 2022	29	Non-FDP member participants – 4 <sup>th</sup> cohort
April 1, 2022	TBD	Non-FDP member participants – 5 <sup>th</sup> cohort



# Exp Clearinghouse Collaborations

- FDP Infrastructure Committee Systems Working Group collaboration
  - Membership database coordination
- Collaboration with Subawards Subcommittee
  - Subrecipient Monitoring Working Group on financial risk assessment for non-Single Audit entities
  - Preparing institutional resources to internally communicate expectations relating to subrecipient relationships (e.g., Letter of Intent (LOI), subrecipient commitment forms, agreement terms)
- Membership Adherence Working Group collaboration



# Expanded Clearinghouse Feedback

- Proposed new questions for audit findings related to research and Pass-Thru Entity (PTE) funding, and a requirement to list page numbers of findings
- Possible elimination of questions related to audit finding severity
- Use of institutional subrecipient commitment forms
- General feedback on the usefulness of the Expanded Clearinghouse for subrecipient risk assessment and audit review vs. other sources



# Expanded Clearinghouse Feedback

<b>Current</b>	<b>Proposed</b>
Did the entity's most recent Single Audit contain any findings?	Did the entity's most recent Single Audit contain any findings in the Research & Development (R&D) cluster?
Did the entity's most recent Single Audit contain any Significant Deficiencies?	<i>Remove</i>
Did the entity's most recent Single Audit contain any Material Weaknesses?	<i>Remove</i>
Were any of the findings related specifically to any pass-through federal funding to the entity?	Were any of the findings related to only one pass-through entity?
<i>No requirement but sometimes use "Comment" field for clarification</i>	Please provide the page number of the audit pdf where details about the entity's findings first appear.



# Poll Question #1

Would the proposed changes related to audit questions be an improvement?

- a) Yes
- b) No
- c) Maybe
- d) Not applicable / We don't refer to this section as part of our risk assessment.





## Poll Question #2

How frequently does your organization use the Expanded Clearinghouse for audit review?

- a) Whenever possible
- b) Sometimes
- c) Very rarely
- d) Never



## Poll Question #3

Can you estimate how often you get asked by other FDP Expanded Clearinghouse participants to use their institutional subrecipient commitment forms or letters of intent?

- a) Often (>75%)
- b) Sometimes (25-75%)
- c) Rarely (1-24%)
- d) Never (0%)



# Session Wrap up

- Questions?
- Exchange thoughts so far
- 2022 general priorities
  - Add 2-3 additional committee members
  - Increase collaborative activities with:
    - Broad FDP “pre-award” community of practice
    - Faculty reps - liaisons
    - Federal agencies - liaisons



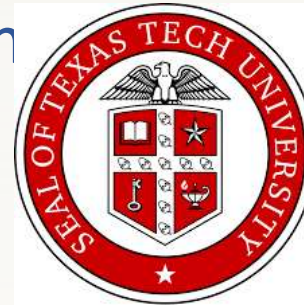
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# Co-Chair Contact Info

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- Alice Young, Faculty Liaison
  - Texas Tech University
  - [Alice.young@ttu.edu](mailto:Alice.young@ttu.edu)



## Research Administration Committee Webpage

- <http://thefdp.org/default/committees/research-administration/>