

National Aeronautics and
Space Administration



FINANCIAL MANAGEMENT DIVISION | POLICY & GRANTS DIVISION | QUALITY ASSURANCE DIVISION | BUDGET DIVISION | STRATEGIC INVESTMENTS DIVISION | AGENCY FINANCIAL SYSTEMS OFFICE | MISSION SUPPORT OFFICE



OCFO

OFFICE OF THE CHIEF FINANCIAL OFFICER

Federal Demonstration Partnership - January 11, 2021



Office of the Chief Financial Officer

Grants Policy and Compliance Updates



Revision of Existing Policies & Processes

Research Terms and Conditions regarding prior approval requirements.

- Effective November 12, 2020, NASA updated Appendix B of the Research Terms and Conditions; outlining provisions requiring prior approval. Research Terms & Conditions are accessible at www.nsf.gov/awards/managing/rtc.jsp

Provision	2CFR Reference	Guidance Prior to 11/12/2020	Guidance Effective 11/12/2020
Use of current fair market value to determine the value of non-Federal entity contributions of services and property for the purposes of cost sharing or matching.	200.306(d)(2)	Required	Waived
Transfer of funds budgeted for participant support costs to other categories of expense.	200.308(c)(5)	Waived	Required
Subawarding, transferring or contracting out of any work under a Federal award, including fixed amount subawards as described in 200.333. This provision does not apply to the acquisition of supplies, material, equipment or general support services.	200.308(c)(6)	Waived	Required
Changes in the approved cost-sharing or matching provided by the non-Federal entity.	200.308(c)(7)	Waived	Required
Encumber equipment acquired with Federal funds.	200.313(c)(1)	Waived	Required

Revision of Existing Policies & Processes

GCAM Updates

- GPC recently released the 2020 edition of the GCAM; effective November 12, 2020.
- Updates to the GCAM reflect recent revisions to the 2 CFR 200 which also became effective November 12, 2020.
- Some of the notable updates include:
 - A new section on post-award routine monitoring
 - The incorporation of a new policy from the NASA Procedural Requirements (NPR) 9680
 - Final Report Deadlines - All financial, performance, and other reports are now due 120 days after the period of performance end date for prime recipients. Subaward recipients still have 90 days to submit their final reports to their pass through entity.

The GCAM is accessible on the NASA Grants Policy & Compliance Branch website at :
https://prod.nais.nasa.gov/pub/pub_library/grantnotices/gic.html

Revision of Existing Policies & Processes

Proposer's Guidebook

- GPC will release the 2021 edition of NASA Proposer's Guidebook in January 2021. The guidebook outlines policies and procedures award applicants must adhere to when submitting responses to NASA's Notice of Funding Opportunities.
- Some of the high level updates include the following:
 - Inclusion of the new section Special Restrictions for non-U.S. organizations under the Submission Requirements and Restrictions
 - Inclusion of the 1-Step Approach for the Submission Process. Proposers have two options in the submission process, the 1-Step Approach and the 2-Step Approach. The 2-Step Approach is used when the NOFO requires a two-step submission process.
- The guidebook is updated annually and is accessible at the following link:
https://prod.nais.nasa.gov/pub/pub_library/srba/documents/2020_edition_Proposers_Guidebook.pdf

Revision of Existing Policies & Processes

Unsolicited Proposer's Guidebook

- GPC will release the 2021 edition of NASA Unsolicited Proposer's Guidebook in January 2021. The guidebook has not been updated since 2016 due to the transition of GPC from the Office of Procurement to the Office of the Chief Financial Officer. The guidebook provides guidelines for the preparation of formal unsolicited proposals for those who wish to convey their creative methods or approaches to NASA.
- The major update to the Unsolicited Proposer's Guidebook is the updating of the entire guidebook to correspond with the updates to the 2021 Proposer's Guidebook.
- The guidebook is updated annually and is accessible at the following link:
https://prod.nais.nasa.gov/pub/pub_library/srba/documents/2020_edition_Propose_rs_Guidebook.pdf

Revision of Existing Policies & Processes

14 CFR Part 1274 – Cooperative Agreements with Commercial Firms

- GPC is in the process of rescinding 14 CFR Part 1274; which provides guidance to NASA cooperative agreements with commercial firms with a mandatory cost-share.
- On November 13, 2020, 14 CFR 1274 was published in the federal register for comments and the final rule will be effective January 15, 2020.
 - Once fully rescinded, GPC will maintain a publicly-available copy for reference
 - Impact to NASA Grant Recipient –commercial recipients will no longer be required to provide a 50% cost share
 - Grantees must follow the policies and procedures in the Administrative requirements for 2 CFR 200 subpart A through D and the Federal Acquisition Regulation (FAR) at 48 CFR Part 30, Cost Accounting Standards Administration, and Part 31, Contract Cost Principles and Procedure

Adoption of New Policies

Pre-Award Risk Assessment Policy

- As of October 1, 2020, NASA implemented a new risk assessment process for each award applicant prior to making an award
- Risk assessments are conducted for all award recipients regardless of award amount, utilizing data from multiple government-wide repositories to determine the potential need for additional terms and conditions and to inform the level of post-award monitoring required for a recipient to effectively manage NASA awards

Salary Flexibility for Grant Recipients Impacted by COVID-19

- Administrative flexibilities in OMB M-20-26, one of which provided an extension of the “Allowability of Salaries and Other Project Activities” flexibility, expired on September 30, 2020.
- OMB did not extend these flexibilities; therefore, NASA will continue to exercise its authority to issue exceptions to the regulation on a case-by-case basis in accordance with 2 CFR 200.102 “Exceptions”.

Adoption of New Policies

Appeals Policy

- On November 12, 2020, NASA released a new appeals policy that addresses the following:
 - **Appealing NASA's Decisions to Decline Proposal for Financial Assistance –** Describes the types of reconsideration that NASA may make available for declined grant & cooperative agreement proposals submitted to NASA
 - **Appealing a Suspended or Terminated Award –** Describes the types of reconsideration NASA may make available to award recipients concerning suspended or terminated grants and cooperative agreements
 - **Investigation and Research Misconduct –** Describes NASA's process for reviewing allegations of research misconduct in accordance with 14 CFR 1275

Upcoming Policies

Conflict of Interest

- NASA is currently revising its existing conflict of interest policy to include disclosures of non-financial conflicts. The policy will explicitly address the need to disclose both foreign and domestic conflicts
 - Grant applicants & recipients can expect an updated conflict of interest policy in early 2021

Post-Award Monitoring

- NASA is currently expanding its monitoring policy to address Grant Officers and Technical Officers post-award monitoring responsibilities and the required documentation applicants are required to produce during site visits and or desk reviews.



Office of the Chief Financial Officer

Science Mission Directorate



New Additions to the NASA Research Announcement "Research Opportunities in Space and Earth Sciences (ROSES) 2020" (NNH20ZDA001N)

- Limited to SMD's assistance awards (no contracts or grants from parts of NASA) [Call for COVID Augmentations and Funded Extensions](#). No Notices of Intent. These are very short proposals with limited budgets and can be processed on a rolling basis before the 5 Mar due date.
- Payloads and Research Investigations on the Surface of the Moon [NNH20ZDA001N-PRISM](#) Step-2 proposals for investigations that include development and flight of science-driven suites of instruments and technology demonstration payloads that will be delivered to the lunar surface on Commercial Lunar Payload Services (CLPS) due 3 February.
- Open Source Tools, Frameworks, and Libraries [NNH20ZDA001N-OSTF](#). Seeks proposals that support projects that have made significant impacts to the SMD science community under active development and use. It does not support updating of legacy software or the development of new open source tools, frameworks, or libraries. Due 19 Jan.
- Heliophysics Flight Opportunities for Research and Technology [H-FORT](#). The program encourages the development of technologies that will enable investigation of NASA's heliophysics science questions. Space and sub-orbital science and science-enabling proposals that use platforms that include SmallSats (including Cubesats), Balloon Missions, and Hosted Rideshare Payloads, such as International Space Station (ISS)-attached payloads due 26 March

Recent Draft and Final Announcements of Opportunity (AOs) on <https://nspires.nasaprs.com>

- Community review and comment due 25 February for the **NNH21ZDA004J Draft 2021 Astrophysics Medium Explorer (MIDEX) Announcement of Opportunity (AO)** and/or the **NNH21ZDA005J Draft 2021 Astrophysics Explorers Missions of Opportunity (APEXMO) Program Element Appendix (PEA)** for the Third Stand Alone Missions of Opportunity Notice (SALMON-3). Email comments to: patricia.m.knezek@nasa.gov
- Final Text ([NNH21ZDA002O](#)) **Earth Venture Mission-3 (EVM-3) AO**, an element of the Earth System Science Pathfinder ([ESSP](#)) Program, solicits Principal Investigator (PI)-led science investigations. Mandatory Notice of Intent (NOI) 12 Jan. Questions accepted by email only addressed to kenneth.w.jucks@nasa.gov

Decadal Survey on Life and Physical Sciences Research & News from SMD's Science Office for Mission Assessments (SOMA, at NASA Langley Research Center)

- The National Academies of Sciences, Engineering, and Medicine ([NASEM](#)) has begun the process to develop the *Decadal Survey on Biological and Physical Sciences Research in Space 2023-2032*. Decadal Surveys (DS) are community-driven, bottom-up studies that aim to formulate a consensus for research questions and a comprehensive vision and strategy for a decade of transformative science at the frontiers of biological and physical sciences research in space. Learn more about this Decadal Survey via a webinar recording, Reddit and other participation venues at: <https://science.nasa.gov/biological-physical/decadal-survey>.
- Resources for Heliophysics community for planning purposes for the Geospace Dynamics Constellation (GDC) mission available at <https://lws.larc.nasa.gov/gdc/>.
- Community Announcements [NNH20ZDA016L](#) for a potential New Frontiers 5 (NF5) Announcement of Opportunity. The New Frontiers Program conducts Principal Investigator (PI)-led space science investigations in SMD's planetary programs under a not-to-exceed cost cap for the PI-Managed Mission Cost. Questions and feedback on current community announcement are due by 19 February addressed to curt.niebur@nasa.gov. Visit for past a future announcements visit the Program Acquisition Page <https://newfrontiers.larc.nasa.gov/NF5/>.

Planetary Science Division (PSD) Virtual Townhall: January 21 at 1 PM Eastern Time

PSD's Research and Analysis (R&A) plans to eliminate due dates for seven funding opportunities.

Webex meeting link:

<https://nasaenterprise.webex.com/nasaenterprise/j.php?MTID=m7b38428f5d8cbba9877dd28055ec460c>

Meeting number (access code): 199 108 196

Meeting password: N0DD-roses2021

Join by phone

+1-929-251-9612 USA Toll 2

+1-415-527-5035 US Toll

Global call-in numbers

Join from a video system or application

Dial 1991081963@nasaenterprise.webex.com

Of dial 207.182.190.20 and enter your meeting number.

Join using Microsoft Lync or Microsoft Skype for Business

Dial 1991081963.nasaenterprise@lync.webex.com

Greetings from SMD's Deputy Associate Administrator for Research (DAAR)

Michael H. New, PhD Phone: (202) 358-1766 Email: Michael.H.New@NASA.gov Pronouns: he/him/his

- Dr. Daniel Evans, a Program Scientist in the Astrophysics Division, has been selected to be the Assistant Deputy Associate Administrator for Research (ADAAR) beginning in mid-February. Dan's been a professor, a Program Director at the NSF, and has been leading SMD's efforts to pilot Dual-Anonymous Peer Review ([DPR](#)) in selected opportunities in ROSES-2020. Email: daniel.a.evans@nasa.gov
- We are currently seeking reviewers for the Future Investigators in NASA Earth and Space Science and Technology that supports graduate student research projects. Sign up as a potential FINESST and other ROSES program element reviewer at landing page: <https://science.nasa.gov/researchers/volunteer-review-panels>
- FINESST proposals are due 4 February. Apply via short URL on the NASA Solicitation and Proposal Integrated Review and Evaluation System: <https://go.nasa.gov/2TNvEmI>

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