

May 14, 2019



The National Academies of
SCIENCES · ENGINEERING · MEDICINE

May 19 - 21, 2019
Omni Shoreham Hotel
2500 Calvert Street NW
Washington, DC

Agenda

Sunday, May 19, 2019

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| 4:00 p.m. – 6:00 p.m. | Pre-Registration | Palladian |
| 5:00 p.m. – 5:30 p.m. | Newcomer Reception | Palladian |
| 5:30 p.m. – 7:00 p.m. | Welcome Reception | Palladian |

Monday, May 20, 2019

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| 7:30 a.m. – 8:45 a.m. | Registration Continental Breakfast | Ambassador |
| 8:00 a.m. – 8:30 a.m. | New Attendee Orientation Presentation | Cabinet |
| | Communications Committee Topic: Committee Business Co-Chairs: Jennifer Taylor, University of Arkansas, Fayetteville; Laura McCabe | Forum |
| 8:30 a.m. – 9:00 a.m. | Welcome, News & Updates Dick Seligman, FDP Chair, Michele Masucci, FDP Vice-Chair | Regency Ballroom |
| 9:00 a.m. – 10:15 a.m. | Plenary – Federal Agency Updates FDP Agency Representatives NIH, Jean Feldman, NSF; Alex Raver, EPA; NASA; ARO; AFOSR; AMRMC; USDA; ONR; DHS; Susan Sloan, GUIRR | Regency Ballroom |
| 10:15 a.m.– 10:30 a.m. | Break | |
| 10:30 a.m.– 11:45 p.m. | Committee and Task Force – Concurrent Session 1 | |
| | Compliance - Topic: This session will serve as a “mini-retreat” for the full Research Compliance Committee to review the current structure of the committee and to identify current compliance issues and topics that should be focused on in the coming year as well as in the next phase of FDP. All are welcome. Co-Chairs: Alexandra Albinak, Johns Hopkins University; Cheryl Kitt, National Institutes of Health | Empire |

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| | <p>Open Government: Research Administration Data & Finance/Audit/Costing Topic: This session will provide a short overview of the OG:RAD Subcommittee purpose, goals and activities as well as focus on one of those current priority activities - A Letter of Credit Drawdown Survey. The co-leads of this activity will share the history and current status of the survey which is intended to help quantify the detailed administrative efforts associated with letter of credit drawdowns from multiple different agency systems.</p> <p>Speakers: Stephanie Endy, Case Western Reserve University; Avinash Tembulkar, National Science Foundation; Richard Fenger, University of Washington; Nate Martinez-Wayman, Duke University; Chris Berner, National Science Foundation</p> | Congressional |
| | <p>eRA – Grants.gov Transformation Update - An External Evaluation Demonstration</p> <p>Topic: The goal of the Transformation of Grants.gov is to make the overall process of registering, finding, and applying for grants far simpler, easier, and faster by focusing on a human-centric approach. FDP has been a critical partner to help drive the transformation. Grants.gov seeks your assistance and continued engagement to improve the experience and outcomes. Participants will 1) get a sneak peek of the Grants.gov Transformation solution with a live demonstration, 2) have the opportunity to provide feedback, and 3) learn how to be involved in the upcoming early release evaluation groups and engage in the Transformation effort.</p> <p>Speakers: Sue Kim, Grants.gov ; Daniel Smith, Grants.gov ;Peter Chang, Grants.gov</p> | Executive |
| | <p>ERI</p> <p>Topic: Discussion of topics of interest to Emerging Research institutions, including program management and peer review panels, led by a USDA National Institute of Food and Agriculture scientist</p> <p>Speaker: Mark Mirando, PhD, National Program Leader, Animal Nutrition, Growth and Reproduction, Science Coordinator, Agriculture and Food Research Initiative</p> | Senate |
| 11:45 a.m.– 1:00 p.m. | Lunch | Ambassador |
| | <p>Faculty Lunch Forum -</p> <p>Topic: This luncheon will provide a drill-down discussion of interesting interesting information found in the 2018 Faculty Workload Survey and provide a discussion of next steps for the Faculty FDP strategic planning process.</p> | Senate |
| | <p>Other Transaction Authority (OTA) Working Group Lunch</p> <p>Topic: During this working lunch, the OTA Working Group will discuss current progress on researching various aspects of OTA and next steps towards completing a white paper. Questions and information on this topic will be exchanged. All are welcome!</p> | Cabinet |
| 1:00 p.m. – 2:15 p.m. | <p>Plenary –</p> <p>Topic – Dr. Lauer will provide an update on the various aspects of foreign influence and the most critical issues for institutes of higher education to address. Please submit your questions in advance of the meeting to Jim Luther at james.luther@duke.edu or Sara Bible at sbible@stanford.edu.</p> <p>Speaker: Michael Lauer, MD, NIH Deputy Director for Extramural Research</p> | Regency Ballroom |
| 2:20 p.m. – 3:35 p.m. | Committee and Task Force – Concurrent Session 2 | |
| | <p>Implementation and Harmonization of Foreign Influence Requirements</p> <p>Topic: This session will provide information on the latest guidance from key federal agencies related to national security and foreign influence in research. In addition to providing individual agencies' guidance, discussion will include the status of and opportunities for potential harmonization across agencies. Key items for discussion will include such topics as other support, foreign components, proposal and progress report requirements, inappropriate sharing of data and IP, and</p> | Regency Ballroom |

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| | <p>funding/scientific/commitment overlap. Assessing the benefit of potential requirements along with the administrative burden on grantees will also be discussed.</p> <p>Speakers: Michelle Bulls, Director, OPERA, National Institutes of Health; Jeremy Ison, Deputy Chief of Staff to Secretary Dabbar, Department of Energy; Rebecca L. Keiser, Head, Office of International Science and Engineering, National Science Foundation; Bindu Nair, Ph.D., Deputy Director, Basic Research Office, Department of Defense</p> <p>Moderators: Pamela Webb, University of Minnesota; Jim Luther, Duke University</p> | |
| | <p>NSF proposals and incentives for collaboration</p> <p>Topic: The future of biological science is integrative, requiring collaboration across the subdisciplines of biology as well as with other non-biological fields. Is such collaboration best facilitated by multi-institutional proposal submissions having multiple principal investigators each requesting an independent award? Or is collaboration facilitated equally well by single institutional proposals containing multiple subawards? Does one approach create more of an incentive to collaborate, in light of institutional measures of investigator productivity? NSF/BIO seeks a dialog with FDP to explore these questions, with a view to working together to enable collaborations needed to advance the field of biology, while nurturing the careers of scientists.</p> <p>Speakers: Dr. Joanne Tornow, Assistant Director for Biological Sciences, National Science Foundation; Dr. Alan Tessier, National Science Foundation; Dr. Nancy Sung, National Science Foundation</p> | Executive |
| 3:35 p.m. – 3:50 p.m. | Break | |
| 3:50 p.m. – 5:05 p.m. | Committee and Task Force – Concurrent Session 3 | |
| | <p>eRA – NSF HERD</p> <p>Topic: This session will provide an overview of the annual NSF Higher Education R&D (HERD) survey, including the availability of data through various systems and publications. Potential changes to future surveys will also be discussed. Time will be made for Q&A.</p> <p>Speakers: Michael Gibbons, Project Officer, Higher Education R&D Survey and Survey of S&E Research Facilities, National Center for Science and Engineering Statistic, NSF; Kathryn Harper, Project Director, Higher Education R&D Survey, ICF Macro, Inc.</p> | Congressional |
| | <p>Subawards/Expanded Clearinghouse</p> <p>Topic: Report out: clearinghouse updates, subaward template working group, subcontract sample, clinical trial working group, subaward delays and DTUA and IACUC collaborations. Updates to samples and templates will be discussed in detail at the session.</p> <p>Speakers: Amanda Humphrey, Northeastern University; Amanda Hamaker, Purdue University; Stephanie Scott, Columbia University</p> | Empire |
| | <p>Compliance Unit Standard Procedure (CUSP) Universal Protocol Form</p> <p>Topic: This session will focus on two burden reduction initiatives – the Compliance Unit Standard Procedure (CUSP) Sharing Site and the Universal Protocol Form (UPF).</p> <p>The first part of the session will focus on the CUSP project. The goal of this project is to develop an online venue where participating institutions can share standard procedures used in animal care protocols. The site is currently under development and the working group is preparing for the first phase of testing. We will provide updates on progress made over the past month, and discuss topics related to site development.</p> <p>The second part of the session will explore the development of a UPF. This would provide consistency between institutions and allow investigators to move to other institutions more smoothly. OLAW supports this initiative, along with the 21st</p> | Executive |

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| | <p>Century Cures items, as another way to remove administrative burden from researchers. We will discuss pros and cons of such a form, and share ideas on what the form could contain and how it could be implemented.</p> <p>Speakers: Aubrey Schoenleben, University of Washington; Axel Wolff, National Institutes of Health; Bill Greer, University of Michigan</p> | |
| 5:15 p.m. – 6:45 p.m. | Reception | Ambassador |
| Tuesday, May 21, 2019 | | |
| 7:30 a.m. – 9:00 a.m. | Continental Breakfast | Ambassador |
| 8:00 a.m. – 8:55 a.m. | Committee and Task Force – Concurrent Session 4 | |
| | <p>Enabling FAIR – Data Science at NIH</p> <p>Topic: The volume of basic, translational, and clinical research data generated by NIH-supported investigators is rapidly increasing, presenting outstanding potential for new discoveries to be made leading to improved health. To harness this opportunity, NIH released its first Strategic Plan for Data Science that provides a roadmap for modernizing the NIH-funded biomedical data science ecosystem. This talk will highlight actions underway that support implementation of the strategic plan.</p> <p>Speaker: Dr. Susan Gregurick, National Institutes of Health</p> | Empire |
| | <p>Faculty Business Meeting</p> <p>Topic:</p> <p>Co-Chairs: Michele Masucci, Temple University; Robert Nobles, University of Tennessee</p> | Senate |
| | <p>Procurement – Implementing Uniform Guidance</p> <p>Topic: This session continues the on-going discussion of implementation and application of the procurement section of the Uniform Guidance. Specific challenges being discussed include, but are not limited to: IHE/State disparities, Sole Sources, Cost Analysis, Negotiation of Profit, and Contract Administration & Close Out.</p> <p>Speakers: Deb Moore, University of Alaska Fairbanks; BJ Pivonka, University of Connecticut</p> | Congressional |
| | <p>Subawards/IACUC</p> <p>Topic: In this session, we continue the discussion first addressed during the January FDP meeting that was co-chaired by the FDP Subawards Subcommittee and the IACUC Subcommittee. We'll continue to discuss possible solutions to reduce burden related to issuing a formal written understanding for inter-institutional collaborations using laboratory animals. We'll examine real case studies a formal written understanding may have benefitted the parties, and we'll discuss the options of written terms that potentially make the roles and responsibilities clear to collaborating institutions. We'll also update members on what we've uncovered so far on how member institutions address formal written understandings, per the expectations outlined in the National Academy of Sciences' Guide for the Care and Use of Laboratory Animals, 8th Edition, that is used by both the Office of Laboratory Animal Welfare (OLAW) and the Association for Assessment and Accreditation of Laboratory Animal Care International (AAALAC). We'll conclude with next steps, and discuss a potential working group to initiate a draft MOU as a starting point, to limit it to only the most critical terms a formal written understanding should include to protect laboratory animals and prevent problems. Longer-term plans will also be discussed.</p> <p>Speakers: Axel Wolff, National Institutes of Health; Ara Tahmassian, Harvard University; Stephanie Scott, Columbia University; Amanda Humphrey, Northeastern University; Amanda Hamaker, Purdue University</p> | Executive |

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| 9:00 a.m. – 10:15 a.m | Committee and Task Force – Concurrent Session 5 | |
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| | <p>eRA/Contracts – The CUI Program: 2019 and Beyond Topic: Discussion of Controlled Unclassified Information including the future plans for 800-171, Revision 2 which is targeted for publication in the March-April 2019 timeframe (primarily adding a new set of enhanced, optional CUI security requirements that address the Advanced Persistent Threat). Speaker: Devin Casey, National Archives and Records Administration (NARA)</p> | Executive |
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| | <p>Faculty Administrator Collaboration Team (FACT) - Topic: This working session will advance FACT’s current effort to map specific faculty and administrator collaborations through the pre-proposal to proposal submission stages of a proposal lifecycle. We will break into working groups and review flowcharts that illustrate the institutional complexities at this key period of the lifecycle. We will explore commonalities and differences across institutions as well as identify pain points and recommend strategies for streamlining and enhancing the faculty-administrator collaboration that takes an idea forward from proposal development through submission. Working groups will share their results for inclusion in the end-of-year Fact report. Speakers: Mark Haselkorn (Co-Chair) Faculty Rep, University of Washington; David Reed (Co-Chair) Admin Rep, Michigan Technological University; Steven Post, Faculty Rep & Suzanne Alstadt, Admin Rep, University of Arkansas for Medical Sciences; Dean Appling, Faculty Rep & Courtney Swaney, Admin Rep, University Teas at Austin; Dave Budill, Faculty Rep & Joan Cyr, Admin Rep, Northeastern; and Eva McGhee, Faculty Rep & Perrilla Johnson Woodard, Admin Rep, Charles R. Drew University of Medicine and Science</p> | Congressional |
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| | <p>Presidents Management Agenda (PMA) Cross Agency Priority (CAP) Goal 8 – Results Oriented Accountability for Grants (Tentative) Topic: At a high-level, the Results-Oriented Accountability for Grants Cross Agency Priority Goal (Grants CAP Goal) seeks to reduce administrative burden so the grants community can focus on mission. The strategies of the Grants CAP Goal provide a multifaceted approach to get to this objective, strengthen grants management across the Federal government, and improve the experience of the Federal grant recipient community. This session will provide an overview of the President’s Management Agenda, the Grants CAP Goal, accomplishments from the first year, the direction moving forward, and the relevance to FDP members. Speaker: Rhea Hubbard, OMB (Invited)</p> | Empire |
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| | <p>Conflict of Interest Topic: The COI Subcommittee will close out its Organizational Conflicts of Interest Working Group and open discussion as to topics for a new Working Group this coming year. Some topics already suggested include Institutional Conflicts of Interest, Graduate Student Conflicts of Interest and Emerging Disclosure Requirements Relating to Foreign Influence. Co-Chairs: Diane Dean, National Institutes of Health; Mary Lee, Stanford University</p> | Senate |
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| 10:15 am – 10:30 am. | Break | |
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| 10:30 a.m.– 11:45 a.m. | <p>Plenary – A Bold New Era of American Science and Technology Speaker: Dr. Kelvin Droegemeier, Director, Office of Science and Technology Policy Topic: Dr. Kelvin Droegemeier will discuss the current initiatives being undertaken by the Office of Science and Technology Policy (OSTP). Among these initiatives is the creation of a new Joint Committee that will focus on improving the safety, integrity, and productivity of research settings. Included among these initiatives is a focus on reducing administrative burdens on federally-funded research.</p> | Regency Ballroom |
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| 11:45 p.m. | FDP Meeting Adjourns | |