Welcome!

Today’s webinar will begin shortly. There will be no audio sound until the session begins.
Zoom Webinar Reminders

• Zoom technical support at 1-888-799-9666, option 2

• Audio streamed through your speakers

• Submit questions at any time in the Q&A box at the bottom of your screen

• Webinar recording and slides posted shortly after the event at thefdp.org

• If you are a member of the press, we ask that you identify yourself and your publication in the Q&A box now.
NSF Proposal & Award Policy Update

Federal Demonstration Partnership
January 23, 2023

Jean Feldman, Head Policy Office
Division of Institution & Award Support
policy@nsf.gov
Topics

• Renamed NSF Directorate for STEM Education (EDU)
• *Proposal and Award Policies and Procedures Guide* (PAPPG) (NSF 23-1)
  • Effective Dates
  • Safe and Inclusive Working Environments for Off-Campus or Off-Site Research
  • Revisions to the Biographical Sketch and Current and Pending (Other) Support
• Get ready for Research.gov!
• Other NSF/FDP Sessions of Note
• NSF Outreach Opportunities
• Resources
The NSF Directorate for Education and Human Resources has been renamed the Directorate for STEM Education (EDU).

The Division of Human Resource Development has also been renamed to the Division of Equity for Excellence in STEM (EES).

• PAPPG (NSF 23-1) Overall Effective Date
  • January 30, 2023

• PAPPG – Other Effective Dates
  • July 31, 2023: Responsible and Ethical Conduct of Research (RECR)
  • October 23, 2023: Mandatory use of SciENcv for the Biographical Sketch and Current and Pending (Other) Support
PAPPG (NSF 23-1) Revisions: Safe and Inclusive Working Environments for Off-Campus or Off-Site Research

• For each proposal that proposes to conduct research off-campus or off-site, the AOR must complete a certification that the organization has a plan in place for that proposal regarding safe and inclusive working environments.

• Off-campus or off-site research is defined as data/information/samples being collected off-campus or off-site, such as fieldwork and research activities on vessels and aircraft.

• The plan itself is not submitted to NSF as part of the proposal.
PAPPG (NSF 23-1) Revisions: Safe and Inclusive Working Environments for Off-Campus or Off-Site Research

• The plan must describe how the following types of behavior will be addressed
  • Abuse of any person, but not limited to harassment, stalking, bullying or hazing of any kind, whether the behavior is carried out verbally, physically, electronically, or in written form; or
  • Conduct that is unwelcome, offensive, indecent, obscene, or disorderly.
• The plan should identify steps the proposing organization will take to nurture an inclusive off-campus or off-site working environments.
• The plan should consider communications within the team and to the organization.
PAPPG (NSF 23-1) Revisions: Biographical Sketch and Current and Pending (Other) Support

• Includes new certification requirements from the National Defense Authorization Act (NDAA) 2021, Section 223 regarding information being accurate, current and complete.

• Implements National Security Presidential Memorandum 33 (NSPM-33) with consistent disclosure requirements for senior personnel and for common formats for both the biographical sketch and current and pending (other) support.

• Encourages the use of ORCID ID.
PAPPG (NSF 23-1) Revisions: Biographical Sketch and Current and Pending (Other) Support

• Certification Requirements
  • Senior personnel must certify that the information is accurate, current and complete.
  • In SciENcv the certification is completed upon download of the document. The certification and timestamp is included on the PDF.
  • For the fillable forms, the senior personnel must type their name and date in the form. The certification is printed on the form.
Initial Disclosures and Update/Correction Requirements

• Proposal Submission
  • Collaborators and Other Affiliations, Biographical Sketch, and Current and Pending (Other) Support must be submitted.

• Pre-Award
  • Updated Current and Pending (Other) Support may be requested by the NSF program officer and must be submitted for senior personnel before an award can be made.

• Post-Award
  • If the AOR discovers that a disclosure should have been submitted at the time of proposal submission, but was not, they have 30 days to submit a post-award request to NSF.

• Project Reports
  • PIs and co-PIs must specify whether new, active other support has been received in their annual and final project reports. If yes, they must include updated Current and Pending (Other) Support information.
Implementation Timeline: Revision of the Biographical Sketch and Current and Pending (Other) Support

• Both SciENcv and NSF fillable formats may be used through October 22, 2023.

• Use of SciENcv only will be mandated for proposals submitted or due on or after October 23, 2023.
Get Ready for Research.gov! FastLane Proposal Preparation and Submission Decommissioning Deadlines

<table>
<thead>
<tr>
<th>Action</th>
<th>Deadline</th>
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<tbody>
<tr>
<td><strong>SUBMIT NEW</strong></td>
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<tr>
<td>Last day to submit new proposals in FastLane</td>
<td>Friday, January 27, 2023 (5:00 PM submitter’s local time)</td>
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<td><strong>EDIT EXISTING</strong></td>
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<tr>
<td>Last day to submit proposal file updates/budget revisions in FastLane</td>
<td>Friday, September 29, 2023 (5:00 PM submitter’s local time)</td>
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<td><strong>VIEW EXISTING</strong></td>
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<tr>
<td>Last day to download FastLane submitted proposals and print FastLane in-progress proposal PDFs</td>
<td>Friday, September 29, 2023 (11:00 PM Eastern Time)</td>
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Other FDP sessions of note

• Safeguarding the U.S. Research Enterprise: Transparency, Integrity & Reciprocity
  • Tuesday @ 11:00

• Discussion on the Features and Benefits Available to Researchers Through SciENcv
  • Tuesday @ 1:00

• Research.gov Presentation
  • Wednesday @ 11:00

• Discussion by Dr. Alicia Knoedler – Head of the NSF Office of Integrative Activities
  • Wednesday @ 3:30
NSF Outreach Opportunities

• NSF Grants Conference
  • Spring virtual conference dates TBD
  • Fall 2022 conference sessions available on-demand
  • Sign up to receive notifications about conferences and other events

• NSF Policy Office Webinar Series
  • Topic-specific sessions
  • Latest session covers the revised Biographical Sketch and Current and Pending (Other) Support formats including a SciENcv demo (recording is coming soon!)

• Resource Center
  • On-demand presentations – searchable by topic and year

Visit: https://nsfpolicyoutreach.com/
Resources

• Policy Office Website
• Current PAPPG (NSF 22-1)
• Revised PAPPG (NSF 23-1)
• Biographical Sketch Policy Page
• Current and Pending (Other) Support Policy Page
NIFA Priorities

• Addressing the Administration’s priorities
• Supporting partners in addressing critical needs
• Realizing the agency NIFA was created to be
USDA Priorities

- Addressing Climate Change via Climate-smart Agriculture and Forestry
- Advancing Racial Justice, Equity and Opportunity
- Creating More and Better Markets Opportunities
- Tackling Food and Nutrition Insecurity and Food Safety
- Making USDA a Great Place to Work for Everyone
Climate Change

**Expertise** in climate-smart agriculture and forestry practices

**Passion and commitment** from stakeholders

**U.S. leadership** in climate change mitigation

- NIFA Climate Adaptation and Resilience Plan published
- Exploring all programs for climate change-related funding opportunities
- Advancing environmental justice and the White House Justice40 initiative
- Creating a new vision for leading in climate change research funding
- Developing a 10-year road map for Land-grant research, education and Extension
Nutrition Security

• Supporting Innovative Inter- and Trans-Disciplinary Solutions
• Harnessing a Holistic Research Agenda, From Farm-to-Fork
• Integrating with Climate-Smart Agriculture
• Empowering Individual and Community Agency
• Ongoing Webinar Series

www.nifa.usda.gov/topics/food-nutrition-security
Enhanced Market Opportunities

Focus on Innovation & Development of New Markets that are Fair, Competitive, Distributed and Resilient, including:

- Processing & distribution capacity
- Local & regional food systems
- Organic & emerging opportunities
- Safeguarding animal & plant health
- Regional Rural Development Centers digital dashboard
- Enhanced funding for the Farm and Ranch Stress Assistance Network
Diversity, Equity, Inclusion, & Accessibility (DEIA)

Assess current practices and develop action items to advance diversity, equity, inclusion and accessibility across the agency.

• Focus for 4-H and Youth Programs
• Grantsmanship
• Recruitment
• Processes
Addressing needs of partners

• Funding collaborative projects that deliver solutions
• Listening through input sessions
• Adding more webinars and feedback sessions
• Focusing on high-quality customer service
• Enhancing the 4-H Headquarters with strong, experienced leaders and new science advisors at LGUs
• Hiring strategically to provide for better support
NIFA Support for Responding to Emergencies

Smith-Lever Special Needs Competitive Grants Program
• Supports emergency preparedness and response education programs

Smith-Lever, 1890 Extension Capacity Funds
• Trained agents on the ground, ready to respond

Rapid Response to Extreme Weather Events, AFRI A1712, Foundational and Applied Science
• Supports urgent solutions in rapid response to extreme weather; rolling letter of intent deadline
Realizing NIFA’s Potential

- Fully staffed NIFA workforce
- Improving NIFA processes
- Increasing customer service
- New NIFA website launched
- Re-engineering RFAs
- NIFA Reporting System
Questions?
Non-Discrimination Statement

https://www.usda.gov/non-discrimination-statement

- In accordance with federal civil rights law and U.S. Department of Agriculture (USDA) civil rights regulations and policies, the USDA, its Agencies, offices, and employees, and institutions participating in or administering USDA programs, are prohibited from discriminating based on race, color, national origin, religion, sex, gender identity (including gender expression), sexual orientation, disability, age, marital status, family/parental status, income derived from a public assistance program, political beliefs, or reprisal or retaliation for prior civil rights activity, in any program or activity conducted or funded by USDA (not all bases apply to all programs). Remedies and complaint filing deadlines vary by program or incident.

- Persons with disabilities who require alternative means of communication for program information (e.g., Braille, large print, audiotape, American Sign Language, etc.) should contact the responsible agency or USDA's TARGET Center at (202) 720-2600 (voice and TTY) or contact USDA through the Federal Relay Service at (800) 877-8339. Additionally, program information may be made available in languages other than English.

- To file a program discrimination complaint, complete the USDA Program Discrimination Complaint Form, AD-3027, found online at How to File a Program Discrimination Complaint and at any USDA office or write a letter addressed to USDA and provide in the letter all of the information requested in the form. To request a copy of the complaint form, call (866) 632-9992. Submit your completed form or letter to USDA by: (1) mail: U.S. Department of Agriculture, Office of the Assistant Secretary for Civil Rights, 1400 Independence Avenue, SW, Washington, D.C. 20250-9410; (2) fax (202) 690-7442; or (3) email: program.intake@usda.gov.

- USDA is an equal opportunity provider, employer and lender.
EPA Updates for FDP

Federal Demonstration Partnership

January 2023
Updates

• Research Funding Opportunities

• Class Waiver for Hurricanes Fiona and Ian and Alaska Flood

• EPA Grants Webinars

• EPA Grants Listserv

• EPA Research Grants Listserv
Research Funding Opportunities
Notices of Intent – Coming Soon

• Coastal Resilience
• Sustainable Green Chemistry
• Antimicrobial Resistance in Wastewater and Sewage Sludge Treatment
• Air Quality Information
• Cumulative Impacts Assessment
• Visit https://www.epa.gov/research-grants/research-funding-opportunities for updates and open opportunities.
Class Waiver for Grant Recipients Impacted by Hurricanes Fiona and Ian and the Alaska Flood and Landslide

- This class waiver allows grants which were active as of September 18, 2022, and scheduled to expire prior or up to December 31, 2022, to be extended at no-cost for a period of up to 12 months for recipients located in a county or parish where a major disaster has been declared under the Stafford Act because of Hurricane Fiona, Hurricane Ian, and the Alaska flooding and landslides.

- Additionally, the requirement to obtain a written request for a project period extension prior to amending the assistance agreement has been waived.
EPA Grants Award Process Webinars

• EPA's Office of Grants and Debarment periodically hosts webinars for the EPA grants community.

• If you are interested in applying for EPA grants or are currently managing an EPA grant, please consider attending one of these webinars.

• Past and upcoming webinars can be found at https://www.epa.gov/grants/epa-grants-webinars

• Subscribe to the EPA Grants Update Listserv to receive updates about these webinars. https://www.epa.gov/grants/forms/subscribe-epa-grants-update-listserv
EPA Grants Listserv

• EPA’s Office of Grants and Debarment uses this listserv to send out periodic updates about:
  • new guidance and information posted on our website
  • new trainings and webinars available to potential applicants and recipients
  • changes in grants management requirements
  • other pertinent general grants information

• Sign up at: https://www.epa.gov/grants/forms/subscribe-epa-grants-update-listserv
EPA Grants Listserv

Subscribe to Our Listserv

EPA's Office of Grants and Debarment has a new listserv to send out information to grant recipients and potential applicants.
Subscribe today!

Quick Links
- Grants.gov
- System for Award Management (SAM)
- CFDA (now Assistance Listings on beta.SAM.gov)
- Beta.USASpending.gov (Current) & USASpending.gov (Legacy)
- EPA Financial Services (Receive a Payment)
- Disadvantaged Business Enterprises (DBE)
EPA Research Grants Listserv

• EPA’s Office of Research and development uses this listserv to send out updates about upcoming requests for applications, webinars and other resources.

• Sign up at https://www.epa.gov/research-grants/research-grants-listserv.
Contact Information

Jennifer Robbins
Extramural Management Specialist
Office of Research and Development
(202) 564-6471
robbins.jennifer@epa.gov
NIH Update

NIH OFFICE OF POLICY FOR EXTRAMURAL RESEARCH ADMINISTRATION

JANUARY 2023

XANTHIA JAMES
The NIH Grants Policy Statement (GPS) was published on December 23, 2022. The latest version of the NIH GPS is available on the NIH.gov website, along with a significant changes table.

Previous versions of the NIH GPS remain applicable as standard terms and conditions of award for all NIH grants and cooperative agreements with budget periods that began prior to October 1, 2022.

NIH will continue to publish interim grants policy changes and clarifications through the issuance of NIH Guide Notices, available here.

New this year, NIH posted a Federal Register Notice announcing the updates. Future updates to the NIH GPS will be posted in the Federal Register prior to implementing updates.
Effective January 25, 2023, the DMS Policy requires researchers to prospectively plan for how scientific data will be preserved and shared through submission of a DMS Plan (replaces 2003 Data Sharing Policy).

Applies to all research, funded or conducted in whole or in part by NIH, that results in the generation of scientific data.

The DMS Policy requires:

• Submission of a DMS Plan outlining how scientific data and any accompanying metadata will be managed and shared, taking into account any potential restrictions or limitations.
• Compliance with the recipient’s plan as approved by the NIH Institute and Center (IC).

Learn more: [Data Management & Sharing Policy Overview](#), DMS FAQs, and [an optional DMS Plan format page](#).
NIH Data Management and Sharing Online Resources

Website: NIH Scientific Data Sharing

FAQs: DMS Policy FAQs

Email Box: Sharing@nih.gov

Webinar Series: NIH DMS Policy Implementation
NIH Natural Disaster Policies

- NOT-OD-23-065: OMB Administrative Relief for Recipients Impacted by Hurricanes Fiona and Ian and the Alaska Flood and Landslide (Currently pending – will be published in coming days)
- On January 12, 2023, the OMB Single Audit Extension for Recipients in Major Disaster Areas (Alaska, Florida, South Carolina, North Carolina, Puerto Rico) – (NOT-OD-23-022)
  - Implements the OMB Memorandum on the Administrative Relief for Grantees Impacted by Hurricanes Fiona & Ian and the Alaska Flood & Landslide issued on December 23, 2022.
  - Provided a 6-month extension on the following flexibilities:
    - Flexibility with application deadlines (NIH GPS 2.3.9)
    - Extension of financial and other reporting (NIH GPS 8.4.1)
    - Extension of a final budget period of a previously approved budget period (NIH GPS 8.1.1.3)
    - Prior approval requirement waivers (NIH GPS 8.1.1.2)
    - Extension of currently approved Facilities and Administrative (F&A) rates (NIH GPS 7.4)
    - Alternatives for record retention and cost documentation
    - Expenditure of award funds for salaries (NIH GPS 7.9.1)
    - Extension of Closeout Requirements (NIH GPS 8.6)
    - Post-submission materials (NIH GPS 2.3.7.7)
  - These flexibilities are time limited to 90 days after the OMB memo was issued and will therefore end on March 23, 2023.
- NIH will also issue a reminder for the NIH administrative flexibilities as they apply to recipients affected by the California flooding, landslides, and mudslides and Alabama storms, straight-line winds, and tornadoes within the next few weeks.

Learn more: NIH Extramural Response to Natural Disasters and Other Emergencies
HHS Non-Discrimination Legal Requirements for NIH Recipients

• On December 23, 2022, NIH issued NOT-OD-23-047, Updates to the Non-Discrimination Legal Requirements for NIH Recipients.

• Provides the responsibilities for grant and cooperative agreement recipients for administering their awards in compliance with federal civil rights laws that prohibit discrimination.

• The requirements provided in NOT-OD-23-047 will be incorporated into all NIH Notices of Funding Opportunities (NOFOs) and Notices of Award (NoA) as a new term and condition:
HHS Non-Discrimination Legal Requirements for NIH Recipients, Continued

The following language will be added to Section 3 of all new NIH NoAs:

Recipients must administer the project in compliance with federal civil rights laws that prohibit discrimination on the basis of race, color, national origin, disability, age, and comply with applicable conscience protections. The recipient will comply with applicable laws that prohibit discrimination on the basis of sex, which includes discrimination on the basis of gender identity, sexual orientation, and pregnancy. Compliance with these laws require taking reasonable steps to provide meaningful access to persons with limited English proficiency and providing programs that are accessible to and usable by persons with disabilities. The HHS Office for Civil Rights provides guidance on complying with civil rights laws enforced by HHS.

The following language will be added to the Administrative Terms Section for all new NIH NOFOs and Section 3 for NoAs:

- For guidance on meeting the legal obligation to take reasonable steps to ensure meaningful access to programs or activities by limited English proficient individuals see [https://www.hhs.gov/civil-rights/for-individuals/special-topics/limited-english-proficiency/fact-sheet-guidance/index.html](https://www.hhs.gov/civil-rights/for-individuals/special-topics/limited-english-proficiency/fact-sheet-guidance/index.html) and [https://www.lep.gov](https://www.lep.gov).

- For information on an institution’s specific legal obligations for serving qualified individuals with disabilities, including providing program access, reasonable modifications, and to provide effective communication, see [http://www.hhs.gov/ocr/civilrights/understanding/disability/index.html](http://www.hhs.gov/ocr/civilrights/understanding/disability/index.html).

- HHS funded health and education programs must be administered in an environment free of sexual harassment, see [https://www.hhs.gov/civil-rights/for-individuals/sex-discrimination/index.html](https://www.hhs.gov/civil-rights/for-individuals/sex-discrimination/index.html). For information about NIH's commitment to supporting a safe and respectful work environment, who to contact with questions or concerns, and what NIH's expectations are for institutions and the individuals supported on NIH-funded awards, please see [https://grants.nih.gov/grants/policy/harassment.htm](https://grants.nih.gov/grants/policy/harassment.htm).

• **Public Law No: 117-183**  SBIR and STTR Extension Act, 2022 was passed on September 30, 2022.

• Reauthorized the SBIR/STTR Program and Pilot Program under the Small Business Act (15 U.S.C. 638)

• Key provisions for Small Business Concerns (SBCs):
  • Defines applicant submission requirements, criteria for restricting awards and requiring repayment.
  • Federal agencies are now required to assess an SBC applicant’s foreign affiliation (currently assessed via the Other Support at the researcher level).

• NIH will be issuing new policy guidance on for these requirements over the next several months.

SBIR and STTR Extension Act, 2022
Reminder: Changes to the Biographical Sketch and Other Support Format Page

• NIH requires applicants and recipients to use the updated Biosketch and Other Support format for applications, Just-in-Time (JIT) Reports, and Research Performance Progress Reports (RPPRs).
  • Effective January 25, 2022, electronic signatures and supporting documentation are required
  • Failure to follow the appropriate formats may cause NIH to withdraw applications from or delay consideration of funding.

• Applicants and recipients remain responsible for disclosing all research endeavors regardless of the version of the forms used.

Learn more: NOT-OD-21-110
Learn More: Biosketch FAQs & Other Support FAQs
FORMS-H Grant Application Forms & Instructions Coming for Due Dates On or After January 25, 2023

• Effective for due dates on or after January 25, 2023, applicants must use FORMS-H application packages.

• **January 25, 2023: First FORMS-H application due date.**

• Key forms change to add new “Other Plan(s)” single attachment to:
  • PHS 398 Research Plan
  • PHS 398 Career Development Award Supplemental Form
  • PHS 398 Research Training Program Plan
  • PHS Fellowship Supplemental Form

• Necessary for implementation of 2023 NIH Data Management & Sharing Policy (see NOT-OD-21-013; NOT-OD-22-189).

• See High-level summary of FORMS-H application form changes for more information.
  • Additional RPPR and other eRA system changes for DMS policy implementation (e.g., Grant folder and JIT module) are planned and will be communicated as details are finalized.

Learn more: [NOT-OD-22-195](#), [NOT-OD-23-012](#)
Update – Implementation of Requirement to Submit SF-425/FFR via Payment Management System

• Effective October 1, 2022, PMS will no longer be converting NIH Final FFRs to Interim Annual FFRs in accordance with NOT-OD-22-099, which notified NIH recipients that FCTRs are no longer required to be submitted 30 days after the end of each calendar quarter.

• Recipients have reported a significant increase in errors in submitting reports via a single-entry point since January 1, 2021.

• Given the significant impact, agencies will provide leniency, as appropriate, where these challenges prevent recipients from submitting timely FFRs.
  • Notify the Grants Management Official of any delays and retain all documentation related to this flexibility in the event of an audit finding.

Ability to Bypass 2-Business Day Viewing Window for Administrative Supplements

- Effective October 27, 2022, NIH implemented a new functionality that offers option to bypass the full standard 2-business day application viewing window through a new ‘Verify’ action in eRA Commons Status and will expedite processing of eligible electronic administrative supplement requests.

- The optional Verify action to bypass the application viewing window for administrative supplement applications will be available to users with SO role in eRA Commons Status.

- The Verify action moves an administrative supplement application forward for agency processing without waiting for the application viewing window to expire.

- Note that once this bypass option is used, the SO forfeits the organization’s ability to reject or make further changes to the application. Organizations must carefully review their applications prior to using the Verify action to mitigate the need for resubmissions.

- After the SO verifies they want to bypass the viewing window, NIH will automatically forward the request to the appropriate grants management and program staff for consideration.

Learn more: NOT-OD-23-011
NIH Response to Registration Issues in SAM.gov

• GSA implemented a new process in SAM.gov to validate entities as a first step to requesting a UEI and for completing SAM.gov entity registration. Due to high demands on their systems, the GSA experienced delays in the SAM process used to update or renew an entity registration.

• Due to SAM.gov system challenges that have the potential to impact all applicants and recipients across the Federal government, NIH is making an exception to the Late Application Policy until the issue is resolved.

• If an applicant entity does not have a current SAM registration or renewal at the time of the application submission due date, NIH will accept late applications within the two-week late window as long as the entity has submitted all SAM registration or renewal documentation at least two weeks prior to the application due date and all other aspects of the application are compliant and consistent with the funding opportunity announcement requirements.
  • The entity's application Cover Letter must include documentation, such as a confirmation email or screenshot, demonstrating that the SAM registration or renewal request was submitted prior to the application due date.

• For information, contact GSA or OPERA Systems Policy Branch

• Organizations that do not have an active SAM registration cannot be issued an NIH award.

Learn more: NOT-OD-22-119
Questions?

• Division of Grants Policy:
  • E-Mail: GrantsPolicy@mail.nih.gov

• Division of Grants Compliance & Oversight:
  • E-Mail: GrantsCompliance@mail.nih.gov

• Systems Policy Branch
  • E-Mail: OPERA-systemspolicy@mail.nih.gov

• Division of Extramural Inventions and Technology Resources:
  • E-Mail: Inventions@nih.gov

• OPERA FFR Center:
  • E-Mail: OPERAFFRInquiries@od.nih.gov
Federal Demonstration Partnership – Agency Updates
January 23, 2023
Antanese Crank, Chief, Grants Policy & Compliance

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202-358-0861
FY 2023 GCAM became effective October 31, 2022

Significant updates include:

- Clarifying language regarding China Restrictions - Proposals that include participation of China, and/or a Chinese-owned company are ineligible to receive an award.
- New Infrastructure Term & Condition: Requires that if any grant activities meet the definition of “infrastructure” as set forth in the T&C, then the grantee must comply with specific Buy America preferences as follows:
  - All iron and steel be produced in the U.S.
  - All manufactured products used on the project be produced in the U.S.

FY 2023 Proposer’s Guide is set to release in February and will include guidance for the submission of unsolicited proposals.
NASA will release a Federal Register Notice related to its Conflicts of Interest (COI) policy. Per the updated policy, grant recipients will be required to:

- Maintain written and enforced policies that require covered individuals to disclose COI and conflict of commitment (COC) to the recipient entity.
- Maintain written and enforced policies that require covered individuals to disclose COI and COC both foreign and domestic, to the recipient entity. Policy must include the following:
  - Designated official responsible for (1) reviewing COI and COS disclosures for covered individual who is planning to participate or is participating in, a NASA award. (2) determining whether an actual, apparent, or a potential COI or COC exist, and if so, determine the actions that have been taken and shall be taken to eliminate, or where appropriate, manage or reduce the conflict.
  - Requirement that (1) covered individuals provide all required disclosures at the time a proposal is submitted to NASA. (2) covered individuals disclosures be updated on an annual basis or as soon as any new actual, apparent or potential COI or COC arises. (3) adequate enforcement mechanisms and provide sanctions where appropriate.
- Eliminate or, where appropriate, manage or reduce the disclosed conflict; and
- Disclose to NASA any conflict that cannot be eliminated, managed, or reduced.

The updated policy provides clarifications regarding enforcement actions in the event of a failure to disclose.

Includes a new term & condition requiring award recipients to comply with the COI and COC disclosure requirements.
Science Mission Directorate (SMD)- Update
SMD Updates: Efforts that May Reduce Administrative Burden for Researchers and Administrators

- Not yet able to offer true Fixed Amount Awards per 2CFR200.1. "Fixed amount awards means a type of grant or cooperative agreement under which the Federal awarding agency or pass-through entity provides a specific level of support without regard to actual costs incurred under the Federal award. This type of Federal award reduces some of the administrative burden and record-keeping requirements for both the non-Federal entity and Federal awarding agency or pass-through entity. Accountability is based primarily on performance and results."

- Thus, some SMD programs continue to experiment with soliciting proposals without a budget, fixed budgets or budget caps.

- If you participated in these types of programs, you may have received an email in late December 2022 from Deputy Associate Administrator for Research michael.h.new@nasa.gov. SMD invites all (whether you have experience with these programs or not) to share your thoughts.
Solicitation: NNH22ZDA001N-FINESST: F.5 Future Investigators in NASA Earth and Space Science and Technology released 28 November 2022, limited to proposals in Astro-and Helio-Physics, Biological & Physical, Earth and Planetary Sciences research that are relevant to NASA. **Proposals due 07 February 2023**

Total maximum budget request, including any allowable overhead, $50,000 annually and up to $150,000 for 3-years. Program was originally conceived in 2018 as funding for a single graduate student, who should be funded using only participant support costs categories.

The Year 2020: Covid & 2 CFR 200 participant support cost definition

This year we expanded the limited cost categories: Again, only for the support of one (1) student, proposers may request funding up to the budget cap:

1) As a direct labor cost, the same as a key or other personnel
2) As a scholarship or other student aid that shall comply with the requirements in 2 CFR 200.466, Scholarships and student aid costs; or
3) As a participant support cost as defined in 2 CFR 200.1, Definitions.

The solicitation also has proposal and post-award program policies written in question-and-answer format. Got more questions? Email: HQ-FINESST@mail.nasa.gov
The cornerstone of NASA's current and future missions

- The 2023 "ROSES" omnibus research solicitation will be released ~ February 14 at [https://solicitation.nasaprs.com/ROSES2023](https://solicitation.nasaprs.com/ROSES2023)
- Research Opportunities in Space and Earth Sciences, is NASA Research Announcement (NRA), i.e., a notice of funding opportunity (NOFO), that primarily awards grants and cooperative agreements under Science Assistance Listing 43.001
- ROSES has >100 individual “program elements” each with its own topics, cadence, and due dates. HTML lists of those program elements and due dates are given in Table 2 (ordered by due date) and Table 3 (ordered by Division/Topic) with links to the web pages for the program elements. Download Google due date calendars at [https://science.nasa.gov/researchers/sara/library-and-useful-links](https://science.nasa.gov/researchers/sara/library-and-useful-links)
- ROSES is dynamic. Blog of amendments and clarifications is at [http://science.nasa.gov/researchers/sara/grant-solicitations/ROSES-2023/](http://science.nasa.gov/researchers/sara/grant-solicitations/ROSES-2023/)
The cornerstone of NASA’s current and future missions

- The big change this year is that the requirements regarding release of data, code, and publications that result from ROSES grants have been substantially strengthened. In particular:
  - Publications must be available at the time of publication, Data and software in support of publications must be made available at the time of publication.
  - Scientifically useful data and software that was not already made available must be by the end of the award, and PIs and funded Co-Is must provide their digital persistent identifier (e.g., ORCID)
Inclusion, Diversity, Equity, and Accessibility (IDEA) at SMD

- Please check out the new [https://science.nasa.gov/about-us/idea](https://science.nasa.gov/about-us/idea)
- SMD developed and launched its own IDEA-focused strategy in February 2022.
- IDEA is congruent with the broader agency’s NASA’s Diversity, Equity, Inclusion & Accessibility (DEIA) plan’s goals.

Helpful Links Include:

- [https://science.nasa.gov/smd-bridge-program](https://science.nasa.gov/smd-bridge-program)
- [https://science.nasa.gov/researchers/inclusion](https://science.nasa.gov/researchers/inclusion)
- [https://science.nasa.gov/researchers/pi-launchpad](https://science.nasa.gov/researchers/pi-launchpad)
- [https://science.nasa.gov/researchers/dual-anonymous-peer-review](https://science.nasa.gov/researchers/dual-anonymous-peer-review)
Lunar Surface Technology Research (LuSTR) – Goal is to accelerate the development of groundbreaking technologies that support and enable lunar surface activities to be conducted under Artemis – the core of NASA’s exploration and human spaceflight plans for the next decade. LuSTR solicits ideas from universities that can be integrated into Artemis’ sequence of missions that start with the near-term development of enabling infrastructure and lay the foundation for a sustained human and robotic presence.

- Expected Release Date (End of January)
- Eligibility – Accredited U.S universities (With teaming permitted)
- More details on LuSTR and other upcoming STMD solicitations can be found at https://www.nasa.gov/directorates/spacetech/solicitations
Minority University Research & Education Program (MUREP) Women’s Colleges & Universities (WCU) – The MUREP WCU funding opportunity, calls on WCUs, as identified by Department of Education data, to leverage their women-centered expertise and experience to address barriers to women seeking, retaining, and remaining in STEM degrees and employment. MUREP WCU awardees will create academic, personal, and professional programs, student outreach, and support services through an intersectional (Crenshaw, 1989) lens, taking into consideration the experiences of women and their various identities such as race, sexual orientation, and socio-economic status.

- Proposal Due Date: **April 17, 2023**
- Eligibility: Women’s Colleges & Universities ONLY
- More details on the MUREP WCU can be found at [NSPIRES - Solicitations Summary (nasaprs.com)](https://nasaprs.com)
- Questions may be directed to: [MUREPWCU@nasaprs.com](mailto:MUREPWCU@nasaprs.com)
Helpful Links and Resources
NASA Solicitation and Proposal Integrated Review and Evaluation System (NSPIRES) – Official NASA system used for posting Notice of Funding Opportunities, receiving, evaluating and selecting proposals: https://nspires.nasaprs.com

Grant Policy and Compliance webpage – Includes list of grants related regulations and guidance, Resources & Training, Points of Contacts, and FAQs: https://www.nasa.gov/offices/procurement/gpc

NASA Shared Services Center – Includes useful information and resources for grantees (i.e., grant status, required documentation for award packages, etc..) https://www.nasa.gov/centers/nssc/grants

NASA’s MSI Exchange – Platform used to support the search for innovative academic collaborators by curating STEM offerings and capability statements of MSIs nationwide. The exchange can inform partnerships for teaming opportunities and competitive federal awards such as contracts, cooperative agreements and grants: https://msiexchange.nasa.gov

Users are encouraged to register to the MSI exchange mailing list, to receive occasional updates about training, events, funding and student opportunities as well as other ways MSIs can engage with NASA. https://msiexchange.nasa/subscribe