



**FEDERAL DEMONSTRATION PARTNERSHIP**  
Redefining the Government & University Research Partnership

# FDP Expanded Clearinghouse

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FDP Meeting – Jan 2018



# Agenda

- General updates
- Status of Recommendations based on Pilot
- Application Programming Interface (API)
- Financial Questionnaire
- Future & Next Steps
- Open discussion



# Some general updates

- Webpage has been updated
  - [http://sites.nationalacademies.org/PGA/fdp/PGA\\_055835](http://sites.nationalacademies.org/PGA/fdp/PGA_055835)
- Some new resources
  - Summary Brochure
  - FAQs
  - Instructional Videos
- Reminder on updating Profiles
  - Accurate and current information is Org responsibility
  - Automated system email notifications
  - FDP Admin Reports:
    - Profiles Pending Certification
    - Profiles with Expired Certification Dates



# Post Pilot Activity – Subgroup Repurposing

- Instructions/Evaluations/Reporting Subgroup -> Education, Outreach & Evaluations
  - Review of EC Content: Starting first with Videos
  - SAM assessment of data fields for API development
  - Survey to gauge usefulness of data elements
  - Marketing resource materials



# Recommendations

RECOMMENDATION	STATUS
Re-invite FDP members not already Participating Organizations (43)	<ul style="list-style-type: none"><li>• Email invite sent 11/16/2017</li><li>• 16 orgs have requested accounts</li><li>• 2 Profiles published as of 1/2/2018</li><li>• Reminder email sent 12/13/2017</li><li>• Working Group to assist in Jan!</li></ul> <p><b>Target Date: 1/31/2018</b> - to either have the FDP member orgs Profile(s) in the Clearinghouse or have obtained a reason org is not joining at this time.</p>

- Remember that these additional organizations are being added one by one
- You can always see this by looking at Participating Organizations page – sorting by “Last Published On” date



# Additional Orgs in Process of Completing Profile

Beckman Research Institute City of Hope

Boston College

College of Charleston

Dartmouth College

Feinstein Institute for Medical Research

George Mason University

George Washington University

Lincoln University

New Mexico State University

St. Jude Children's Research Hospital

Temple University

Texas State University

University of Arkansas, Fayetteville

University of Chicago

UNC, Greensboro

University of Pittsburgh



# Remaining FDP Member Orgs not yet joined in the fun!

Auburn University

Bradley University

California State University, Sacramento

Children's Hospital of Philadelphia

Columbia University

Geisinger Health System

Georgia State University

Jackson Laboratory

Kent State University

Morgan State University

Northeastern University

Nova Southeastern University

Ohio State University

Salem State University

University of Alaska, Fairbanks

University of Delaware

University of Georgia

University of Houston

Univ of Maryland, Ctr for ES

Univ of Mass, Lowell

Univ of New Orleans

Univ of North Dakota

Univ of North Texas

Univ of Texas, San Antonio

Western Michigan University



# Recommendations

RECOMMENDATION	STATUS
<p>Have participation in Expanded Clearinghouse be mandatory for FDP members</p>	<ul style="list-style-type: none"><li>• Based on information gathered, a report and recommendation will be provided back to the Executive Committee related to any members who have chosen not to join the Clearinghouse and reasons why. <b>Target Date: 2/8/2018</b></li><li>• Prior to the invite for the next FDP Phase recommendations will be provided to the Executive Committee with pros and cons related to having the Clearinghouse be mandatory for all FDP members. <b>Target Date: 12/31/2018</b></li></ul>





# Recommendations

RECOMMENDATION	STATUS
<p>Continue development &amp; enhancement work</p> <ul style="list-style-type: none"><li>• Ongoing small to medium enhancements</li><li>• Develop Application Programming Interface (API)</li><li>• Continue development &amp; pilot use of Financial Questionnaire for non-Single Audit organizations</li></ul>	<ul style="list-style-type: none"><li>• Enhancements occur, as needed</li><li>• API developed and being tested starting the week of 12.11.2017. <b>Target Go-live Date: 2/1/2018</b></li><li>• Discussion of API being public in process</li><li>• The Financial Questionnaire continues to be developed as Excel Form for potential Pilot. Future proposal to be submitted to Executive Committee. <b>Target Date: TBD</b></li></ul>



# Recommendations

RECOMMENDATION	STATUS
<p>Post and communicate outcomes and recommendations from Pilot</p>	<ul style="list-style-type: none"><li>• Final Pilot Report has been updated &amp; submitted to the Executive Committee. <b>Sent to EC: 12/22/17</b></li><li>• Draft communication/summary to FDP members and Friends of FDP in development. <b>Target completion date: Week of Jan 15<sup>th</sup> (after FDP meeting discussions)</b></li><li>• Draft communication/summary to non-FDP audiences in development, along with Draft communication plan for audience options, timing, etc. <b>Target completion: 2/1/2018</b></li></ul>



# Communication Plan

- First communicating results of Pilot & recommendations moving forward to:
  - FDP Members & Friends of FDP
- Press Release for communicating outside FDP
- Possible venues:
  - NCURA/SRA
  - COGR
  - Thompson Publishing – Grants Management Newsletters
  - Research Admin listserv
  - Articles / Presentations



# Recommendations

RECOMMENDATION	STATUS
Long range planning for Expanded Clearinghouse	<ul style="list-style-type: none"><li>• Documentation of long range planning for the Clearinghouse will begin in Jan 2018 with further updates provided to the EC at a later date. <b>Target Completion Date: TBD</b></li></ul>



# Application Programming Interface (API)

- **Status**
  - In production now, limited to API Subgroup testers
  - VUMC has integrated with their production system
  - Target available to FDP Members: Feb 1, 2018
- **Documentation**
  - Available on SwaggerHub at <https://goo.gl/qtBJgN>
- **Requirements for Access**
  - Contact Name & Email Address
  - Token will be provided
  - Calls to API will be logged by token and IP address



# Application Programming Interface (API)

- Access to API
  - Initially limited to FDP Members
  - Non-FDP member “Public” access under consideration\*

*\* requesting access token would still be required*



# Financial Questionnaire

- Draft Financial Questionnaire for use with subrecipients not subject to single audit completed
- Cross-referenced FQ content with both the RAQ and regulations
- Collected feedback from potential users, both subrecipients and pass-through entities



# Financial Questionnaire

- Drafting the FQs instructional content, including:
  - Subrecipient guidance for completing responses (to be incorporated into the Questionnaire file directly)
  - Pass-through Entity guidance for evaluating and acting upon subrecipient responses
- Moving toward piloting the FQ
  - Making the argument for collection and centralization of this data; navigating financial information concerns
  - Interested and willing participants needed soon





# Other Pilot Recommendations

(Open for Future Determination)

- Invite non-members of FDP who are also single audit recipients to join the Expanded Clearinghouse

## Issues to consider

- Should a fee be charged? If so, how much?
- Can the additional review burden be handled administratively?
- What would be a realistic timeframe?
- With a fee, would there be an additional demand for ensuring accuracy of data? If so, how to achieve?



# Other Pilot Recommendations

(Open for Future Determination)

- Invite non-FDP members who do not have Single Audits into the Clearinghouse
  - Same considerations as previous slide plus...
  - Requires “Financial Questionnaire” data elements to be added to Clearinghouse (after date elements have been pilot tested via a manual form)
  - What would be a sensible timeline for this step?
  - Is benefit worth the cost/burden?



# Other Pilot Recommendations

(Open for Future Determination)

- If a fee is charged, what recommendations should be made to the Executive Committee for its use?
- How will the fee be managed?



# Open discussion

- How are audits going?
- Any fields missing/unnecessary?
- Should we target specific non-FDP organizations for inclusion? If so, what type of organization?



# Resources

- ECWG Webpage
  - [http://sites.nationalacademies.org/PGA/fdp/PGA\\_055835](http://sites.nationalacademies.org/PGA/fdp/PGA_055835)
- FDP Clearinghouse web-based system
  - <http://fdpclearinghouse.org/>
- Helpdesk
  - [fdpechelp@gmail.com](mailto:fdpechelp@gmail.com)



# Continued Thanks to a Great Team!

## WORKGROUP MEMBERS

Lynette Arias (Co-Chair)	University of Washington
Jennifer Barron (Co-Chair)	Attain, LLC
Pamela Webb (Co-Chair)	University of Minnesota
Patrice Carroll	Brown University
Marcy Friedle	Florida State University
Jennifer Pan	Icahn School of Medicine at Mount Sinai
Julie Thatcher	Institute for Systems Biology (ERI)
Amanda Hamaker	Purdue University
Gloria Greene/ Steve Parker	University of Alabama, Huntsville
Jennifer Rodis	University of Wisconsin-Madison
Sara Clough	UT Austin
Courtney Swaney	UT Austin
Robert Prentiss	UT Austin
Tyra Patrice Darville-Layne	Northwestern University
Christopher Renner	Vanderbilt University Medical Center
Michael Johnson	Vanderbilt University Medical Center



# Questions & Discussion

- All feedback, comments, questions and praise - please use

[fdpechelp@gmail.com](mailto:fdpechelp@gmail.com)





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# Au Revoir, Jennifer!

