



FEDERAL DEMONSTRATION PARTNERSHIP

Redefining the Government & University Research Partnership

Subawards Subcommittee

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Agenda

- Updates:
 - Template updates / compliance terms
 - Technology Update
 - Guidance Group
 - Foreign Template
 - Clinical Trial Template
 - RAQ / CAT
- Carry forward
 - We know you all want to talk about it



Template Updates / Compliance Terms

- The compliance terms have been finalized and approved!
 - Thank you compliance terms working group.
 - This group has completed their work
- As part of reducing burden and streamlining, we worked with the Attachment 2 working group
 - One Attachment 2 to rule them all, I'll show you
 - Huge thanks to Tyra Darville-Layne, Northwestern and Laura Register, Stanford



Technology Update

- The changes to Attachment 2 allowed us to update Attachment 1
- We also migrated Attachments 3A and 3B from LiveCycle into Adobe DC / XI
- Going forward we will release updated templates in September every year
 - Unless something urgent comes along
 - Will have further discussion about the template in May
- Next phase for the templates is single file templates (with separate 3B), coming 2017



Technology Update

- Amanda Humphrey is in the process of creating a unilateral mod template with guidance on appropriate actions included
 - No Cost Extensions
 - Adding budget year / money with no change in scope
 - Adding funds with no change in scope
- There has been a request to change the passive approval on the FDP face page for unilateral mods from 14 to 21 days
 - Let's vote



Guidance Group

- Thank you to our Guidance Group members
 - New Compliance Terms FAQs
 - Additions and Revisions to Main set of FAQs
 - General Template Use
 - Clarification of Data Elements
 - MPIs
- FFATA workgroup stemmed from Guidance group
- Continue to be actively engaged in collaborating with all the subaward committee working groups
- We will talk more about Guidance when we get to carry forward
- Get involved! Contact Stephanie.



Foreign Template

- Ready for review, will post after meeting
- Will be refined to be consistent with other templates
- Many thanks to Debra Brodlie, JHU!
- Alice Reuther, University of Virginia, lead going forward
- Send comments to Alice at asg3r@virginia.edu.



Foreign Template

- Export Controls language
- Invoice template
- Force Majeure language
- Attachment 7: Export Controls Anticipated Controlled Items or Sanctions programs and Embargoes



Fixed Price Clinical Trial Subaward Agreement

- Recently released on September 15, 2016
- Thank you to Brenda Kavanaugh and the rest of the working group and our friends at NCATS.
- Developed as a sample
- Guidance to come



Continuing Assessment Tool (CAT)

Purpose – for Subrecipient Monitoring:

- A tool for assessing risk, performance and concerns after a subaward agreement was issued
- A checklist: provides PTE with the documentation of monitoring
- Eleven questions to consider prior to issuing subsequent funding
 - All questions developed based on careful review of UG and 2015 Compliance Supplement



Continuing Assessment Tool (CAT)

- Released 8/5/16
- Two versions:
 - Excel Workbook with RAQ
 - Or stand-alone CAT
- Special Listserv:
 - FDP-Risk-Assessment-L@lsw.nas.edu
 - Use FDP Listserv Request Form
- Want your feedback and comments to both RAQ and CAT



Demonstration: The CAT, live!

- Tabs:
 - Tool
 - Guidance
 - Regulatory Backup
- Tool:
 - Header
 - Questions
 - Five sections
 - Pop-up guidance (red triangles)
 - Assessment performed/completed section
 - Notes section



RAQ/CAT Next Steps

- Many thanks to Steve Carter, UCSD
- Connecting with the Clearinghouse pilot to ensure consistency of both efforts
 - Development of Financial Questionnaire non-FDP members
- Further streamlining of tools
- Continuous compliance checks
- Get involved! Contact Stephanie.



Carry Forward

- **Objective:** To come to some consensus among FDP membership on the following:
 - Acceptable reasons a PTE may require prior approval of carryover funds, but prime award does not
 - Understanding of impact on subrecipient in those situations
 - Best instrument to be used when carryover is restricted
 - Use of fields:
 - Amount Funded This Action
 - Total Amount of Federal Funds Obligated to Date
 - When final invoices are due: end of budget period or project period (still 60 days)



What's Next?

- Working groups continue their amazing work
 - Foreign
 - Guidance
- New working group to update the subcontract template
 - See Amanda Humphrey to volunteer
- Forming an annual template update group
 - See Amanda Humphrey to volunteer
- Other suggestions?



Friendly Reminder: Changes

- Templates created to make things easier – don't change them!
- Let us know if you get one with changes, we'll contact the institution.



Contact Us

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