



FEDERAL DEMONSTRATION PARTNERSHIP

Redefining the Government & University Research Partnership

Open Government (OpenGov)

FDP Meeting – Sept 2018



OpenGov: Agenda - Fri 8 am

- Introductions
- Background
- Where we are now
 - The Puzzle
 - Aligning and supporting other FDP work
- Looking Ahead
- Future Projects



OpenGov Subcommittee: Who?

Research Administration Committee

Members	FDP Member Organization
Stephanie Endy - AVPR	Case Western Reserve University
Avinash Tembulkar – IT Specialist	National Science Foundation
Richard Fenger – Assistant Director ORIS	University of Washington
<i>Acknowledgement of the past (and future)</i>	
<i>Joint efforts</i>	<i>eRA</i>
<i>Workgroups/Listservs</i>	<i>DATA Act, 270 members Open Gov 173 members</i>
<i>Data</i>	<i>Not the first time data has been discussed</i>



What is OpenGov?

Focusing on administrative data throughout the life-cycle:

- Track, analyze, and streamline the **impact of data driven initiatives** on agencies, researchers and institutions
- Facilitate the adoption of **standards** and their implementation to ensure transparency goals are met while maximizing positive impact and minimizing burden on all partners in the process
- **Coordinate and collaborate** with other FDP committees as needed (e.g., ERA, Expanded Clearinghouse) to address data based components of mutual interest.
- **Partner** with the government (in the FDP tradition)
- Viewing **data as a strategic** asset and cornerstone for reducing workload

(1-pager and chronology)



OpenGov (and eRA): Background

- FFATA: Transparency, sub reporting
 - *(FSRS, SEFA)*
- ARRA: Cost Analysis
 - *(Reporting challenges highlighted)*
- GRIP: Pilot
 - *(Demonstrated electronic reporting)*
- DATA Act: Section 5 Pilot



OpenGov: Background

- DATA Act: Joint Effort with eRA
 - Test Model Participation: 5 (or 6?)
 - Standardized NoA
 - Consolidated Federal Financial Report
 - Single Audit
 - C-DER
 - Learn Grants
 - USA Spending – 2.0
 - Data element analysis and dictionary



OpenGov:

Where are we now...

Avi

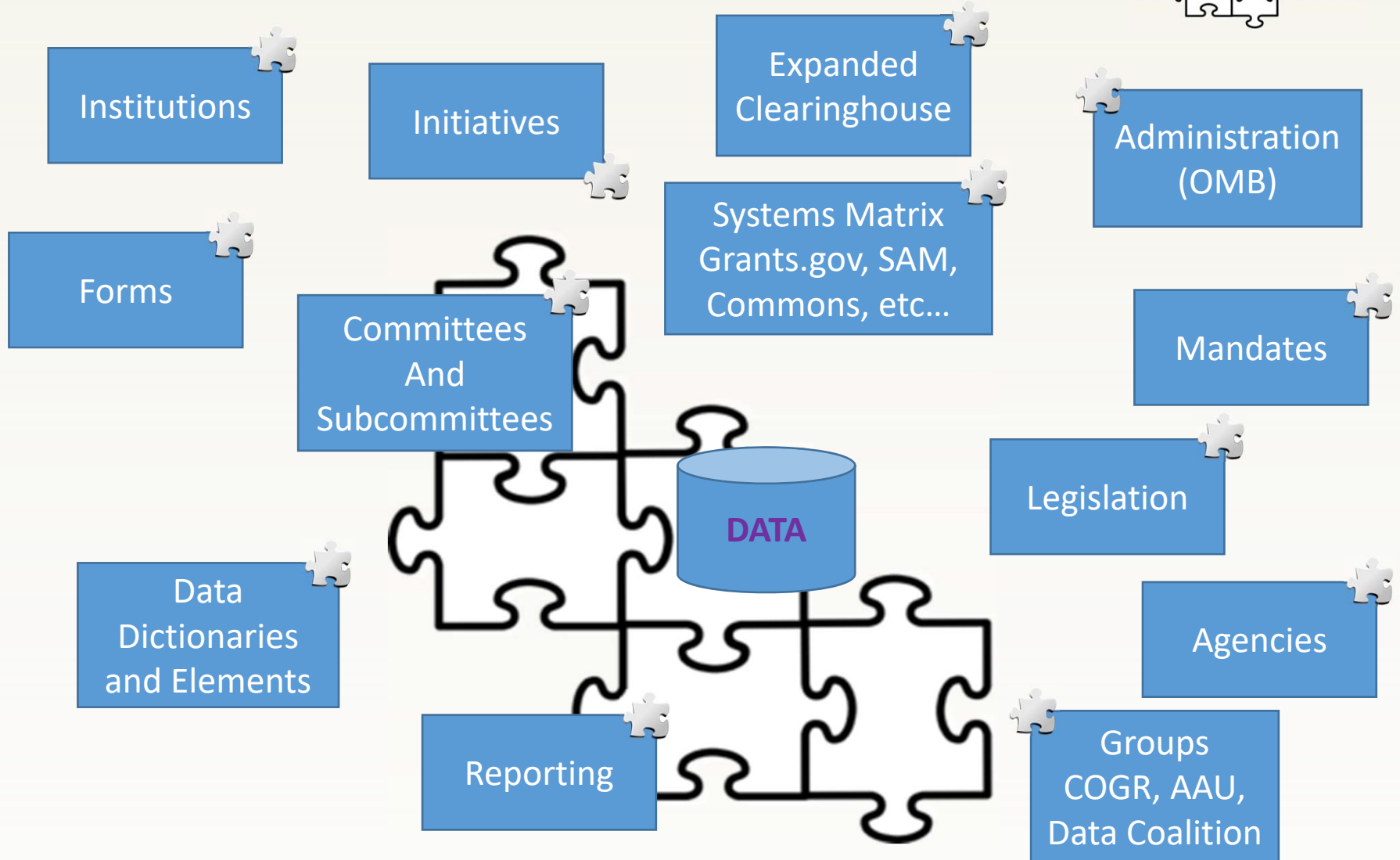


OpenGov: Current Drivers

- OMB and President's Management Agenda (PMA)
 - Cross Agency Priority (CAP): 14 Goals
 - CAP #8 – Results Oriented Accountability for Grants
 - Uniform Guidance
 - OMB Memorandums
- GAO/IG: Findings and Recommendations
- Agency Reforms
 - Renew NSF
 - Reimagine HHS
- Legislation and Transparency Advocacy
 - DATA Act
 - GREAT Act

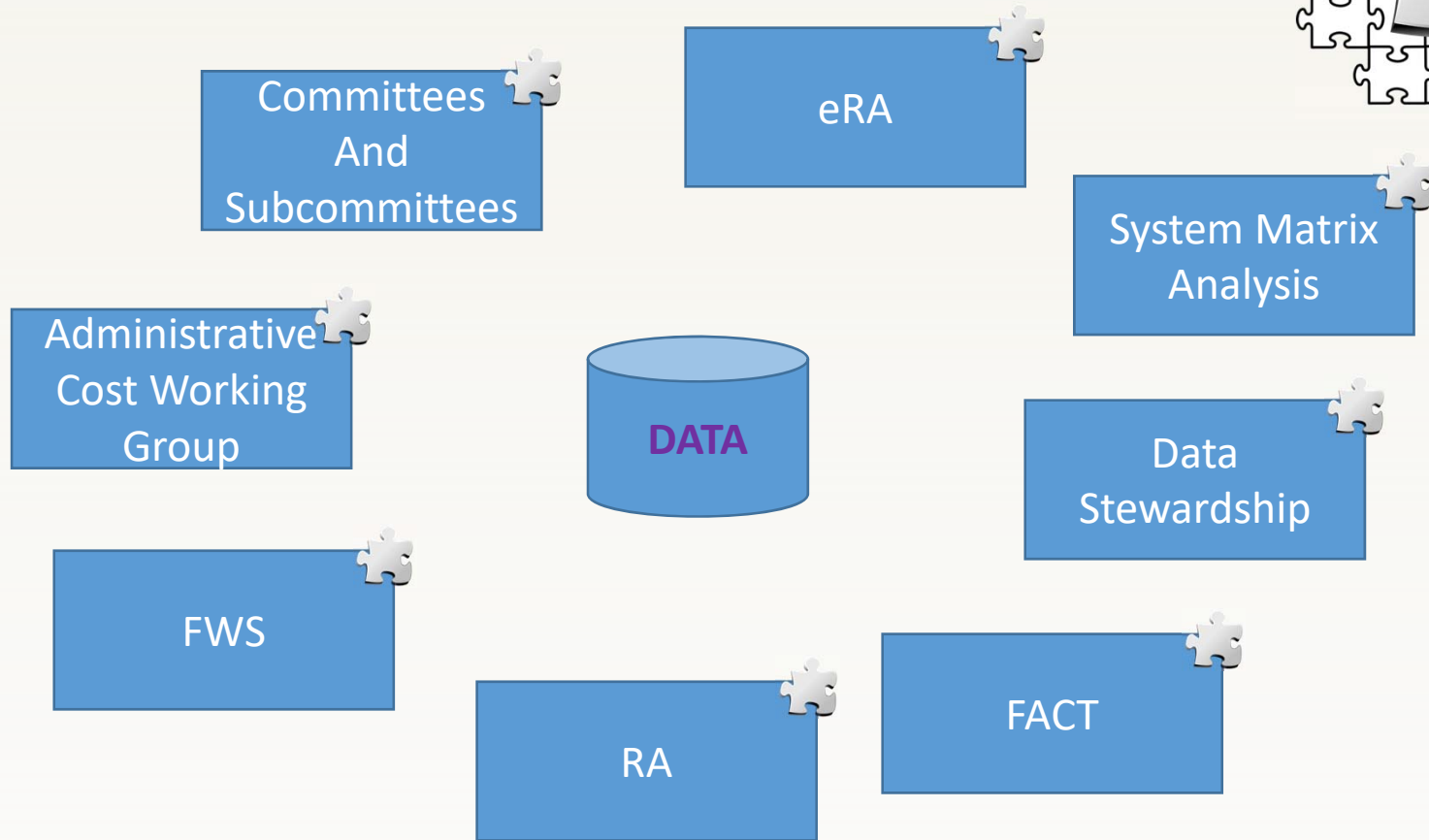


OpenGov: The Puzzle





OpenGov: FDP Alignment & Collaboration





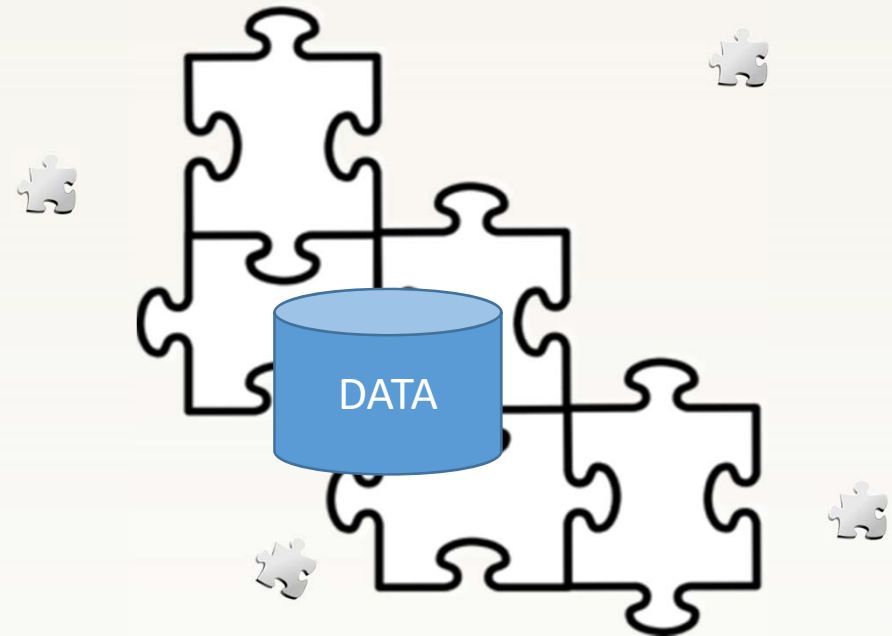
OpenGov:

Looking ahead
Stephanie



OpenGov: How?

- Principles
 - Data Centric
 - Burden Reduction
 - Sort out the puzzle
- Identify Projects
(less trodden areas)
- Collaborate



What are your painful processes in financial post-award?



OpenGov: Financial Reporting

University (P1)

- advance expenditures and categories
- budget categories and amounts
- expenditures by project and by category and amounts
- available balance to expenditures and categories
- payments received
- accounts receivable
- cash deficits
- encumbrances/commitments

Agencies (P1)

- Obligated funds
- Award amounts
- Advance payments
- Expenditures and deviations
- Income
- Payments

Reporting & Data Elements (P2)

- **Inventory:** What financial reports are required/requested (build on prior FDP work)
- **Key Elements:** What elements are in each report

Analysis (P3)

- Analysis
- Are there common elements
- Are there duplicate elements
- When/how often are elements generated
- When/how often are elements communicated

Report Out (P4)



OpenGov: LOC Drawdowns

- **Specific Process with Pain Points**
 - Letter of Credit (LOC) drawdowns are one of our simpler specific isolated processes
 - This is an area that is 'less trodden' for federal and institutional attention
 - Aligned with PMA CAP Goal 8
 - Could be low hanging fruit to begin a data dictionary or more comprehensive post award financial conversation
- **Quantify Burden**
 - For LOC Drawdowns we can quantify 'burden' by measuring the time it takes to prepare for, complete, and reconcile drawdowns for each system, how many systems we interact with, and how many FTEs are dedicated to the issue for a given month in a given institution
- **Overarching Financial Data Dictionary**
 - Requires limited set of defined terms to contribute to data definitions
- **Survey**
 - An easy way to understand how the quantity of burden varies by institution size and type
 - Scalable participation with relatively quick analysis and results
- **Analysis and report out**
 - Sharing the quantified results of 'burden' in a specific administrative process could stimulate federal resources to help streamline the process across grantors.



OpenGov: Reference

Item	Desc
1 Pager and Chronology	Complete picture of the new OG. “Nearly a charter” (to be linked via new FDP website)
Open Gov Drivers	Matrix (to be linked via new FDP website)
Contact for Volunteerism	Stephanie Endy: sne16@case.edu
List Serv	fdp-open-gvmnt-l@lsw.nas.edu
FFATA, ARRA, GRIP, DATA Act	FFATA , ARRA , GRIP , DATA Act , USA Spending