



FEDERAL DEMONSTRATION PARTNERSHIP

Redefining the Government & University Research Partnership

FDP Expanded Clearinghouse

Lynette Arias, University of Washington

Pamela Webb, University of Minnesota

Chris Renner, Vanderbilt University Medical Center

Courtney Swaney, University of Texas Austin

Julie Thatcher, Institution for Systems Biology

Robert Prentiss, University Of Texas, Austin

Webb Brightwell, Harvard University

Jennifer Rodis, University of Wisconsin Madison

Amanda Hamaker, Purdue University

FDP Meeting – September 2018



Agenda

- Introductions
- General Updates
- System Updates
- New & Updated Resources
- Profile Review and Data Analysis
- Long Term Planning
- Financial Questionnaire Pilot



Steering Committee

Area/Role/Functions	Lead Area Partner	Area Partner
Overall Direction & Oversight	Lynette Arias & Pamela Webb (co-chairs)	NA
Federal Agency Liaison(s)	TBD Federal partner(s)	
Project Management	Courtney Swaney	Denise Moody
Help Desk	Courtney Swaney	
Web Based System	Chris Renner	Michael Johnson
Education, Outreach & Evaluation	Julie Thatcher	Neal Hunt
Profile Review & Approval (new)	Lynette & Pamela	NA
Profile Review & Approval (updates) & Data Analysis	Robert Prentiss	Webb Brightwell
Financial Questionnaire	Sara Clough	Lesley Schmidt Sindberg
Long Term Planning	Jennifer Rodis	Amanda Hamaker



General Updates

- Have fully established Steering Committee
 - To help with continuity long term
 - Transitioning and firmly establishing roles & responsibilities
 - Further enhancing our operating procedures
- Reminder on post pilot initial recommendations approved by Executive Committee last fall



Pilot Recommendations – Reminder and status updates

1. Re-invite FDP members not already Participating Organizations (43)

COMPLETE!

(as of 10:30 a.m. this morning)

2. Continue development & enhancement work

- a) Ongoing small to medium enhancements

ONGOING

- b) Develop Application Programming Interface (API)

DONE!

- c) Continue development & pilot use of Financial Questionnaire for non-Single Audit organizations

ONGOING



Pilot Recommendations – Reminder and status updates

4. Continue long term planning for Expanded Clearinghouse & future directions
 - PROGRESS: Specific recommendations made to Executive Committee about:
 - LONG-TERM OWNERSHIP
 - PARTICIPATION OF FDP AND NON-FDP MEMBERS IN THE CLEARINGHOUSE
 - MAINTENANCE AND DEVELOPMENT
 - USE OF CLEARINGHOUSE DATA

[More details in later slides!]



System Updates

- S2S API is live!
 - Tokens issued to 8 institutions (VUMC, UVA, Columbia, NCSU, FAMU, Duke, UCF, Iowa)
 - 2 institutions actively consuming (VUMC & UVA)
- On our radar:
 - Issues with roles – As Organization Owners leave, other Profile Users may not be able to update the Profile as needed, depending on roles.
 - Re-thinking System Roles vs. User Roles



System Enhancements

- **Functionality Enhancements**
 - Updating and adding notifications
 - Enhancements that reduce administrative burden
 - Enhancements that assist in data integrity
 - Suggestions?



Education & Outreach

- Website updates
 - Archived Pilot Information & Documents
 - Streamlined Overall Mission & Description
 - Updated LOI
- Discussion: Survey to Potentially Revise Items?
 - Remove blank, "not applicable" and underutilized items
 - Add data elements common in federal JIT process
 - Seek suggestions from FDP WGs for additional elements
(must include benefit to participating members)
 - Other suggestions?



Data Analysis & Profile Review

The FDP Expanded Clearinghouse system sends email reminders before certifications expire.

Links that have broken or fallen out of date are more difficult to detect. In particular:

- F&A and fringe rate agreements
- Current and previous year single audits





Data Analysis & Profile Review



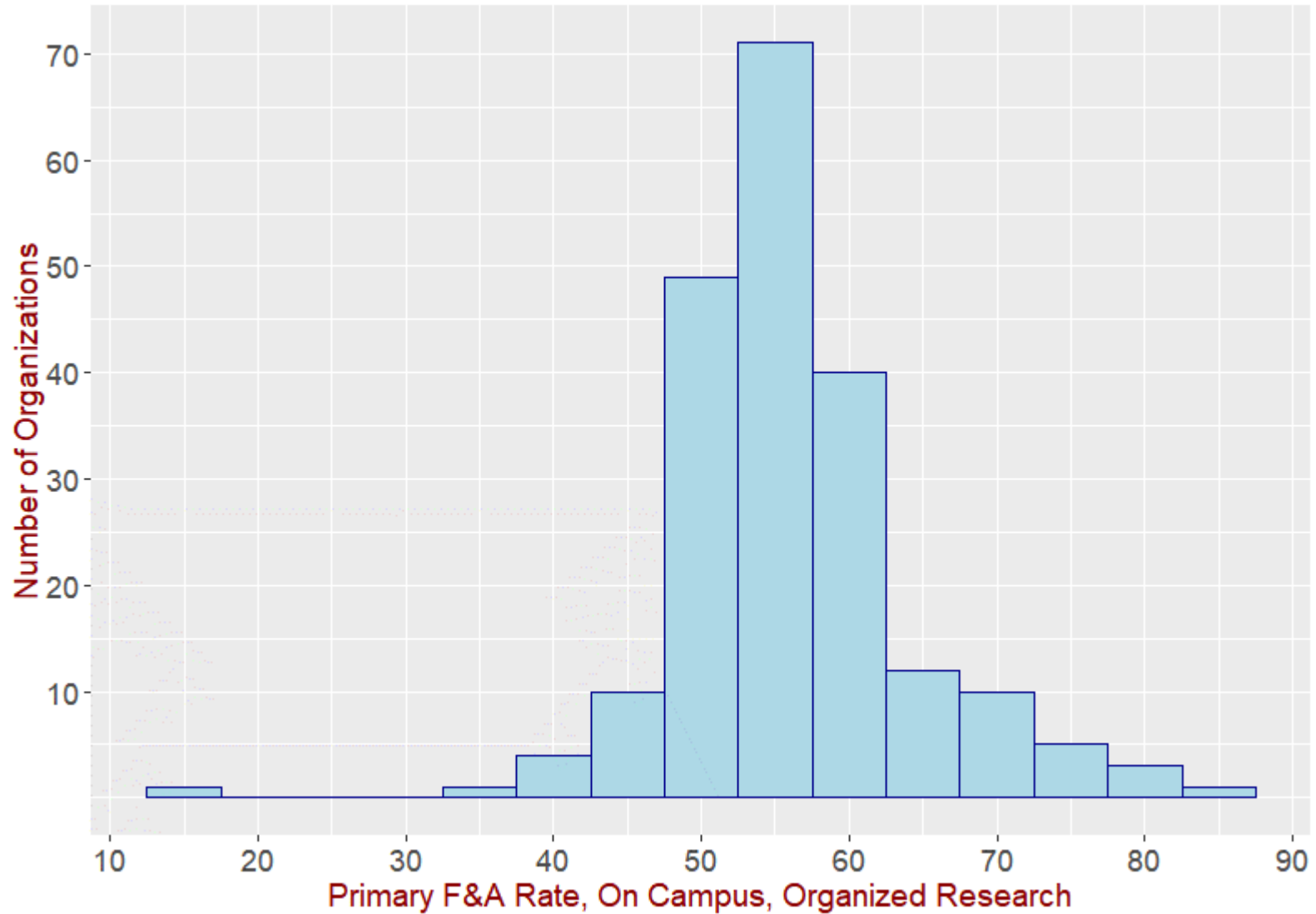
fdpechelp@gmail.com

Robert Prentiss
The University of Texas at Austin

Webb Brightwell
Harvard University

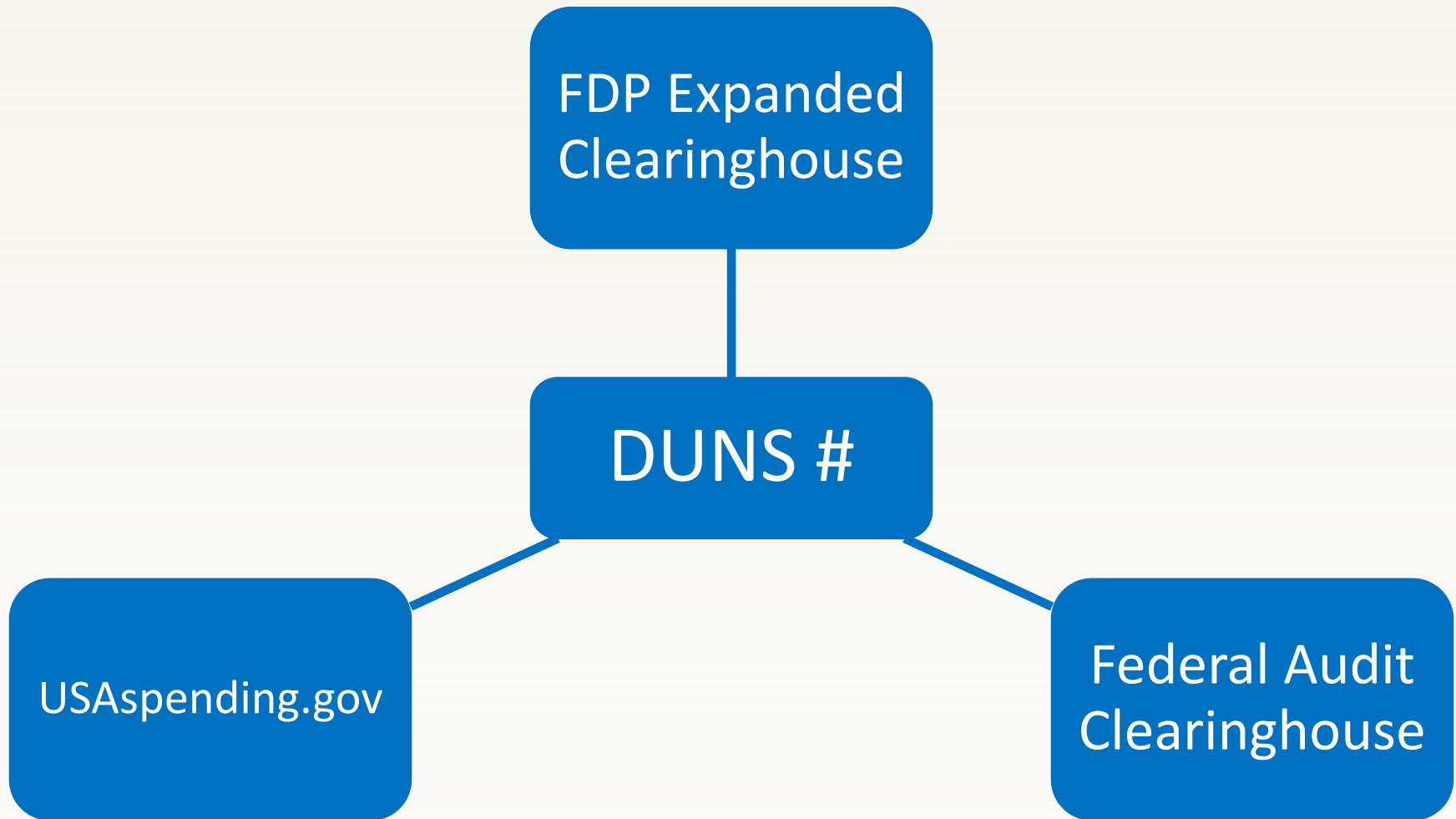


FDP F&A Rates





Data Analysis & Profile Review





Long-Range Planning

Ownership

Participation

Maintenance
and
Development

Use of Data



Ownership & Participation

RECOMMENDATION: FDP should continue to own and manage the Clearinghouse

RECOMMENDATION: FDP should allow a limited number (50-100) non-FDP single audit organizations to join the Clearinghouse for a nominal fee

- Single audit entities that have previously expressed interest
- Recommendations from FDP members (of the partners with whom they exchange a lot of subawards)
- Use USAspending.Gov data to determine optimal partners for FDP members



Ownership & Participation (cont'd)

RECOMMENDATION: FDP should mandate participation in the Clearinghouse in FDP Phase VII

- Consistent with current participation level (all but 1 FDP member are members of the Clearinghouse)
- Conceptually consistent with existing MOU obligation to “participate actively in ... new or ongoing FDP demonstrations and pilots” and to use subaward templates
- Would also include an expectation to keep Clearinghouse data current (business agreement becomes embedded in the FDP membership MOU)
- Opens up possibility to expand FDP organizational profile



Development & Maintenance

FDP should continue Clearinghouse development and enhancement as resources permit

- Requires continued close collaboration with Co-Chairs/Steering Committee

FDP should pursue federal data interfaces (SAM, Federal Audit Clearinghouse, etc.)

- Enhance data quality and timeliness
- Reduce Administrative burden

FDP should support the Clearinghouse using the technical team at Vanderbilt Medical Center

- Via baseline funding
- Via project-based funding for high-priority initiatives



Development & Maintenance

RECOMMENDATIONS RE: CHANGES OR UPDATE TO DATA ELEMENTS

- Underutilized data elements should be considered for removal
- Federal stakeholders should be included to guide data inclusion/deletion choices and to champion the Clearinghouse for agency use
- High-value additional data elements should be considered for inclusion after discussion/surveys with Clearinghouse members
- Inclusion of data elements equivalent to a Financial Questionnaire should be carefully evaluated (cost/benefit)



Use of Data

RECOMMENDATION: FDP should utilize Clearinghouse data to support overall FDP activities

- Continue to perform regular data scrubs to demonstrate how data can successfully be kept current in a national database
- Begin to produce data/reports (to be vetted by Executive Committee prior to additional distribution)
- Obtain member input about what data/reports would be of local value, and produce as resources permit



Financial Questionnaire (FQ)

April 2017

Working Group kick
off call

Spring 2017

Revised FQ based on
currently available
version on FDP
website

Summer & Fall 2017

Incorporated
feedback from small
sample of PTEs and
subrecipients

Spring & Summer 2018

Developed guidance
for subrecipients
and PTEs including
risk mitigation
strategies



Financial Questionnaire (FQ)

Recent Activities and Next Steps

- Guidance for PTEs completing and subrecipients reviewing the financial questionnaire completed in August.
- Financial questionnaire and guidance has been reformatted into easier to digest format for review and distribution.
- Survey under development to determine interest in using the financial questionnaire and willingness to participate in pilot.
- Drafting email to distribute the financial questionnaire and along with survey 1-2 weeks after the Sept FDP meeting.
 - We will request feedback on the draft financial questionnaire.
 - We will use the survey results to help draft a pilot proposal.
- New email address for working group – fq@thefdp.org!



Questions & Discussion

- All feedback, comments, questions and praise - please use

fdpechelp@gmail.com





Key links & Helpdesk Email

- Expanded Clearinghouse Webpage
 - <http://thefdp.org/default/committees/research-administration/expanded-clearinghouse-subcommittee/>
- FDP Clearinghouse web-based system
 - <http://fdpclearinghouse.org/>
- General Helpdesk
 - fdpechelp@gmail.com
- API Helpdesk
 - fdpapihelp@gmail.com



Steering Committee

Area/Role/Functions	Lead Area Partner	Area Partner
Overall Direction & Oversight	Lynette Arias & Pamela Webb (co-chairs)	NA
Federal Agency Liaison(s)	TBD Federal partner(s)	
Project Management	Courtney Swaney	Denise Moody
Help Desk	Courtney Swaney	
Web Based System	Chris Renner	Michael Johnson
Education, Outreach & Evaluation	Julie Thatcher	Neal Hunt
Profile Review & Approval (new)	Lynette & Pamela	NA
Profile Review & Approval (updates) & Data Analysis	Robert Prentiss	Webb Brightwell
Financial Questionnaire	Sara Clough	Lesley Schmidt Sindberg
Long Term Planning	Jennifer Rodis	Amanda Hamaker



Co-Chair Contact Info

- Lynette Arias

- University of Washington
- ariasl@uw.edu



- Pamela Webb

- University of Minnesota
- pwebb@umn.edu

