

FAQs and Significant Changes – Use this chart to see if an FAQ was revised, renumbered, or added from Version 6 to Version 7!

OLD FAQ (v6, Feb 2019)	NEW FAQ (v7, May 2020)	Status/Comments
Introduction	Introduction	Revised -- Edits for clarity, addition of Disclaimer, added description of Attachment 7
1	1	No Change
2	2	No Change in V7 (removed “Revised”)
3	3	No Change
4	3	No Change in V7 (removed “Revised”)
5	5	No Change in V7 (removed “Revised”)
6	6	No Change in V7 (removed “Revised”)
7	7	Revised – Updated to indicate current process of biannual updates or as needed to accommodate new federal regulations.
8	8	No Change in V7 (removed “New”)
-	9	New – “My institution is looking for electronic solutions to streamline the FDP Subaward templates. Our FDP template is programmed into our system but does not look exactly like an FDP template when generated. Is it okay that the format of the template is slightly different if all of the content that was agreed upon by FDP members is the same?”
-	10	New – “What flexibility do I have to make non-substantive changes to the FDP Amendment templates?”
9	11	Revised – Added link to NSF’s RCs matrix
10	12	No Change in V7 (removed “New”)
11	13	No Change in V7 (removed “New”)
-	14	New - Why was the word “Research” removed from the title of all of the FDP Subaward templates and samples?
-	15	New - What roles should be considered when determining whom to list for the “Administrative Contact” and “Financial Contact” on Attachments 3A (for the PTE) and 3B (for the Subrecipient)? For example, my institution has a pre-award administrative contact for receiving new subawards and modifications, but also a post-award administrative contact responsible for post-award requests. How do we clarify those roles?
12	16	Revised – Deleted descriptions of the changes that the Unilateral Modification template enacts and instead provides a link to the template itself; this was done in light of conversations regarding varying institutional definitions of what constitutes a “non-substantive” change.
13	17	No Change in V7 (removed “New”)
14	18	No Change in V7 (removed “New”)
15	19	Revised – revised the question to reflect that the <i>Effective Date</i> was removed from the Amendment templates, and added change in PI to list of circumstances.
16	20	Revised – Updated FAQ # referenced in answer from 17 to 26.

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17	21	Revised – Updated FAQ #s referenced in answer from 11 and 12 to 12 and 13.
18	22	No Change in V7 (removed “Revised”)
19	23	Revised – Updated FAQ # referenced in answer from 14 to 18.
20	24	Revised – Updated FAQ # referenced in answer from 18 to 22.
21	25	No Change in V7 (removed “Revised”)
22	26	No Change in V7 (removed “Revised”)
23	27	Revised – added “process” to second bullet and updated Attachment # from 6 to 7 in last bullet for consistency with Pilot DTUA.
24	28	No Change in V7 (removed “New”)
25	29	No Change in V7 (removed “New”)
26	30	No Change in V7 (removed “New”)
27	31	Revised – removed references to DUNS numbers.
28	32	No Change
29	33	No Change
30	34	No Change
31	35	No Change
32	36	No Change
33	37	Revised – removed references to <i>Effective Date</i> since this was removed from the 2019 version of the templates; updated FAQ # referenced in the response from 15 to 19.
34	38	No Change
35	39	No Change
36	40	No Change
37	41	No Change
38	42	No Change
39	43	No material change – updated “will be updated in the fall of 2019” to “were”
40	44	No material Change – just removed “number” from the question.
41	45	No Change
42	46	No Change
43	47	No Change
44	48	No Change
45	49	Revised – Updated section a. for clarity; added Single IRB information to b.
46	50	No Change
47	51	No Change
48	52	Revised – Added clarifications to indicate that additional terms from state laws should not be added unless they are mandated by state law and applicable to the subrecipient. Also added “that are not addressed elsewhere in the attachments” to encourage PTEs to ensure they are not duplicating terms addressed elsewhere. *To discuss later: Whether to add reference to FAQ # 5 in the first paragraph.
49	53	Revised – Edited for length, and added last sentence for clarity.

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50	54	No Change
51	55	Revised – updated “2018” to “2019” and other language to reflect current template format. Also removed discussion of public access policy requirements. Also added reference to FAQ # 60 to cover human subjects data.
52	56	No Change
53	57	No Change
54	58	Revised – Updated to reflect that export control is no longer addressed in the templates. Also revised to remove information on TRLs from DoD and contract information (refers reader to federal contract FAQs).
55	59	Revised – added “Attachment 2 gives the PTE/Subrecipient the option to select that the exempt determination is either attached or will be provided upon request to provide flexibility.” Removed “FDP members will have an opportunity to provide feedback and suggestions on this language in future iterations.”
56	60	Revised – Updated to include information about Attachment 7.
57	61	No Change in V7 (removed “Revised”)
58	62	No Change
59	63	Revised – Updated to include information about Attachment 7.
60	64	No Change in V7 (removed “New”)
61	65	No Change in V7 (removed “New”)
62	66	No Change in V7 (removed “New”)
63	67	Revised – Removed text about the FDP pilot of CoC language. Revised sentence to state, “In order for subrecipients to understand the provisions of the policy, a term on CoC has been incorporated into the FDP Subaward templates and samples to assist with this requirement per the NIH Grants Policy Statement section 15.2.1.”
64	68	Revised – “Yes. A CoC term has been added to the Foreign Subaward samples.”
65	69	Revised to point out that non-NIH sponsors may have their own CoC language in the prime NoA, or their own CoC requirements. It is up to the PTE to flow-down the CoC requirements appropriately to subrecipients.
66	70	No Change
67	71	No Change
68	72	No Change
69	73	No Change; but note discussion of whether to address NoA’s publication requirements in the templates: Potentially consider putting publication repository requirements in Attachment 4. Let Template working group know.
70	74	No Change
-	75	New – “I remember there was an FDP Fixed Price Subaward template, but now Fixed Price has been replaced with Fixed Amount. Why was this changed?”

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71	76	No substantive change, however, “fixed price” was replaced with “fixed amount”.
72	77	No substantive change, however, “fixed price” was replaced with “fixed amount”.
73	78	Revised – removed “For the purposes of the use of FDP’s Subaward templates, “fixed amount” is synonymous with “fixed price.” The FDP Fixed Price Subaward template or the FDP Foreign Fixed Price Subaward template would be appropriate in those circumstances.” In addition, clarified language referring to the FDP Fixed Rate Clinical Research sample.
74	79	No Change
75	80	No Change
76	81	No Change
77	82	No Change
78	83	No Change
79	84	No Change in V7 (removed “Revised”)
80	85	No substantive change, deleted “reference” in final paragraph and replaced with “refer”.

There were significant changes made to [Appendix 2: Carryover Guidance](#), specifically [Section III](#), to incorporate the changes made to the Bilateral and Unilateral Amendment templates in 2019. In addition, a **new FAQ** was added: “Why does Attachment 4 of the FDP Subaward template show the term “Carryover instructions and requirements are as stated by the Federal Awarding Agency guidance or as shown below:” when I select “Carryover is restricted for this subaward by the:”?