

FAQs and Significant Changes – Use this chart to see if an FAQ was revised, renumbered, or added from Version 5 to Version 6!

OLD FAQ (v5, Sept 2017)	NEW FAQ (v6, Nov 2018)	Status/Comments
1	1	No Change
2	2	Revised – added foreign guidance
3	3	No Change
4	4	Revised – added foreign guidance
5	5	Revised – added foreign guidance
6	6	Revised – added foreign guidance
7	7	Revised – updated hyperlink
-	8	New - How can I request a content change to the templates?
8	9	Revised the question to include “foreign” in the question.
-	10	New - Are there any recommendations for communicating important information and explaining major requirements in a subaward to a foreign subrecipient?
-	11	New - What is the governing language when issuing subawards to foreign entities? Are there elements of the agreement that need to be translated?
9	12	Revised – added foreign guidance
10	13	No Change
-	14	New - A new national policy requirement has become effective since I issued an impacted foreign subaward. When and how should I amend the original subaward to incorporate the new terms and conditions?
-	15	New - What <i>Effective Date</i> should I use when issuing a modification for the following circumstances?
11	16	Revised – added foreign guidance
12	17	Revised – added foreign guidance
13	18	Revised – added foreign guidance
14	19	Revised – added foreign guidance
15	20	Revised – added foreign guidance
16	21	Revised – added foreign guidance
17	22	Revised – added foreign guidance
18	23	Revised – added foreign guidance
-	24	New - When is it appropriate to request backup of an invoice?
-	25	New - How long is it appropriate to leave the condition for backup to invoices in place?
-	26	New - What is considered backup documentation of an invoice?
-	27	New - How do I ensure that my foreign subrecipient is aware that their invoices cannot be paid until they register in SAM.gov and obtain a DUNS number? Should these requirements be met and verified prior to executing the subaward?
19	28	No Change
20	29	No Change
21	30	Revised – added foreign guidance
22	31	No Change

OLD FAQ (v5, Sept 2017)	NEW FAQ (v6, Nov 2018)	Status/Comments
23	32	No Change
24	33	No Change
25	34	No Change
26	35	No Change
27	36	No Change
28	37	No Change
-	38	New - What is the difference between <i>obligated</i> and <i>authorized</i> ? This is often referenced in Notices of Awards (NoAs) and subawards.
-	39	New - What is the <i>Total Amount of Federal Funds Obligated to Date</i> if the carryover amount of the full unobligated balance is not authorized by the PTE?
29	40	No Change
30	41	Revised – added foreign guidance
31	42	No Change
32	43	No Change
33	44	Revised – added foreign guidance
34	45	Revised – added foreign guidance
35	46	Revised – added foreign guidance
36	47	No Change
37	48	Revised – added foreign guidance
38	49	Revised – added foreign guidance
39	50	No Change
40	51	No Change
41	52	No Change
42	53	No Change
43	54	Revised - Export Controls FAQ - to add one sentence regarding recommending the use of the foreign subaward samples to include export control language (Attachment 8).
44	55	No Change
45	56	Revised – updated hyperlink
46	57	Revised – added foreign guidance
47	58	No Change
48	59	Revised – updated hyperlink
-	60	New - Do the FDP Subaward templates address research integrity and misconduct?
-	61	New - How are Single IRB Policies addressed in the templates? -
-	62	New - What is NIH's Certificates of Confidentiality (CoC) Policy? -
-	63	New - Should PTEs include a term on the NIH CoC Policy in subawards funded in whole (or partially) by NIH?
-	64	New - Does the NIH CoC Policy apply to foreign subrecipients?
-	65	New - Does the CoC Policy apply to other federal agencies?
49	66	No Change
50	67	No Change
51	68	No Change

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52	69	No Change
53	70	No Change
54	71	No Change
55	72	Revised – added foreign guidance
-	73	New - What is the difference between fixed amount and fixed-rate subawards?
56	74	No Change
57	75	No Change
58	76	No Change
59	77	No Change
60	78	No Change
61	79	Revised – added foreign guidance
62	80	No Change

New examples were included in Part III of the Carryover Guidance Document, located in Appendix II, related to the new FAQs 38 and 39 above. The examples relate to issuing bilateral modifications authorizing the carryover of funds.